# $CO_2$ enrichment inhibits shoot nitrate assimilation in $C_3$ but not $C_4$ plants and slows growth under nitrate in $C_3$ plants

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*Abstract.* The CO<sub>2</sub> concentration in Earth's atmosphere may double during this century. Plant responses to such an increase depend strongly on their nitrogen status, but the reasons have been uncertain. Here, we assessed shoot nitrate assimilation into amino acids via the shift in shoot  $CO_2$  and  $O_2$  fluxes when plants received nitrate instead of ammonium as a nitrogen source ( $\Delta AQ$ ). Shoot nitrate assimilation became negligible with increasing CO<sub>2</sub> in a taxonomically diverse group of eight  $C_3$  plant species, was relatively insensitive to  $CO_2$  in three  $C_4$  species, and showed an intermediate sensitivity in two  $C_3$ - $C_4$  intermediate species. We then examined the influence of  $CO_2$  level and ammonium vs. nitrate nutrition on growth, assessed in terms of changes in fresh mass, of several  $C_3$  species and a Crassulacean acid metabolism (CAM) species. Elevated CO<sub>2</sub> (720 µmol CO<sub>2</sub>/mol of all gases present) stimulated growth or had no effect in the five  $C_3$  species tested when they received ammonium as a nitrogen source but inhibited growth or had no effect if they received nitrate. Under nitrate, two  $C_3$  species grew faster at sub-ambient ( $\sim$ 310 µmol/mol) than elevated CO<sub>2</sub>. A CAM species grew faster at ambient than elevated or sub-ambient  $CO_2$  under either ammonium or nitrate nutrition. This study establishes that  $CO_2$  enrichment inhibits shoot nitrate assimilation in a wide variety of  $C_3$  plants and that this phenomenon can have a profound effect on their growth. This indicates that shoot nitrate assimilation provides an important contribution to the nitrate assimilation of an entire  $C_3$  plant. Thus, rising  $CO_2$  and its effects on shoot nitrate assimilation may influence the distribution of C<sub>3</sub> plant species.

*Key words:*  $C_3$ ;  $C_4$ ; climate change;  $CO_2$  acclimation; Crassulacean acid metabolism (CAM); nitrogen; plant distributions.

## INTRODUCTION

The vast majority of higher plant species conduct carbon fixation solely via the  $C_3$  (Calvin-Benson) pathway, and thus are called  $C_3$  species. In unfertilized soils and agricultural soils receiving standard amounts of fertilizer, exposure of  $C_3$  species to elevated atmospheric CO<sub>2</sub> concentrations initially enhances growth by as much as 35%, but over time, from days to years, this enhancement diminishes, a phenomenon known as CO<sub>2</sub> acclimation (Long et al. 2004, Reich et al. 2006).

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Previously we demonstrated in the  $C_3$  species wheat and *Arabidopsis* that  $CO_2$  acclimation derives from  $CO_2$ inhibition of nitrate ( $NO_3^-$ ) assimilation into organic nitrogen (N) compounds (Bloom et al. 2002, 2010, Rachmilevitch et al. 2004). In contrast  $NO_3^-$  assimilation in maize (corn), a species that conducts carbon fixation via the  $C_4$  (Hatch-Slack) pathway, was relatively insensitive to atmospheric  $CO_2$  concentration (Cousins and Bloom 2003). Here, we surveyed a taxonomically diverse group of higher plant species, including  $C_3$ ,  $C_3$ - $C_4$ ,  $C_4$ , and Crassulacean acid metabolism (CAM) plants, to determine the generality of these findings.

We first evaluated the response of shoot  $NO_3^$ assimilation to  $CO_2$  enrichment via measurements of assimilatory quotient (AQ). The assimilatory quotient is the ratio of net  $CO_2$  consumed over net  $O_2$  evolved in a photosynthetically active leaf (Warburg 1948). It varies with  $NO_3^-$  vs.  $NH_4^+$  metabolism because  $NO_3^-$  assimilation increases the light-dependent splitting of  $H_2O$ and generation of  $O_2$ . The additional electrons generated during  $H_2O$  splitting are transferred first to  $NO_3^-$  and then to  $NO_2^-$ . This has little effect on net  $CO_2$ consumption, but results in a faster rate of net  $O_2$ evolution, and thus AQ (= $CO_2/O_2$ ) decreases (Warburg and Negelein 1920, Cramer and Myers 1948, Van Niel et al. 1953, Bloom et al. 1989, Cen and Layzell 2003, Eichelmann et al. 2011). We examined AQ in a taxonomically diverse group of C<sub>3</sub> species (loblolly pine, giant redwood, wheat, barley, *Arabidopsis*, *Flaveria pringlei*, sugar maple, and sweet gum) and C<sub>4</sub> species (maize, *Flaveria bidentis*, and amaranth) and two species that do not fully implement the C<sub>4</sub> pathway and therefore are considered C<sub>3</sub>-C<sub>4</sub> intermediates (*Flaveria chloraefolia* and *F. sonorensis*). The results of these experiments reinforced our previous findings about the response of shoot NO<sub>3</sub><sup>-</sup> assimilation to CO<sub>2</sub> enrichment.

To assess the importance of this response to overall plant performance, we compared the relative growth rates of several C<sub>3</sub> species (loblolly pine, wheat, Arabidopsis, sugar maple, and sweet gum) under NO<sub>3</sub><sup>-</sup> or  $NH_4^+$  nutrition at ambient and elevated  $CO_2$ concentrations. The interaction between N form and the two CO<sub>2</sub> treatments were significant for all species, indicating that the performance of  $C_3$  plants in the future may depend on the response of NO<sub>3</sub><sup>-</sup> assimilation to CO2 enrichment. To determine whether recent changes in atmospheric  $CO_2$  concentration may have already influenced the performance of higher plants, we added a third CO<sub>2</sub> treatment, sub-ambient, and grew two of the same tree species as before (loblolly pine and sweet gum) and a CAM species (jade plant). This is, to our knowledge, the first survey of the influence of N form and atmospheric CO<sub>2</sub> concentration on a broad range of higher plant species.

#### METHODS

#### Plant materials

For this study, we monitored net CO<sub>2</sub> and O<sub>2</sub> gas fluxes as a function of shoot internal CO2 concentration  $(C_i)$  in eight  $C_3$  species, three  $C_4$  species, and two  $C_3$ - $C_4$ intermediates. The C3 species were the gymnosperms Pinus taeda L. (loblolly pine) and Sequoiadendron giganteum (Lindl.) Buchholz (giant redwood), the annual monocots Triticum aestivum L. (wheat) and Hordeum vulgare L. (barley), the annual dicot Arabidopsis thaliana L. (thale cress), the herbaceous perennial Flaveria pringlei Gand., and the perennial woody dicots Acer saccharum Marshall (sugar maple) and Liquidambar styraciflua L. (sweet gum). The C4 species were the annual nicotinamide adenine dinucleotide phosphatemalic enzyme (NADP-ME) monocot Zea mays L. (maize), the annual NADP-ME dicot Flaveria bidentis L. (coastal plain yellowtops), and the annual nicotinamide adenine dinucleotide-malic enzyme (NAD-ME) dicot Amaranthus retroflexus L. (red-root amaranth). The  $C_3$ - $C_4$  intermediates were the herbaceous perennial dicots Flaveria chloraefolia A. Gray (clasping yellowtops) and F. sonorensis A. M. Powell. Of these 13 species, we previously presented data from two (wheat and Arabidopsis) (Bloom et al. 2010).

We surface-sterilized seeds of *Arabidopsis thaliana* (cultivar [cv] Col-0), wheat (*Triticum aestivum* L. cv Veery 10), barley (*Hordeum vulgare* L. cv Steptoe),

maize (Zea mays L. cv Dekalb), amaranthus (Amaranthus retroflexus L.), sweet gum (Liquidambar styraciflua L.), and giant redwood (Sequoiadendron giganteum (Lindl.) Buchholz) for 1 min in 2.6% NaClO and washed them thoroughly with water.

After surface sterilization, *Arabidopsis* seeds were germinated for 12 d in Magenta boxes (Sigma-Aldrich, St. Louis, Missouri, USA) filled with sand and saturated with a nutrient solution containing 200  $\mu$ mol/L NH<sub>4</sub>NO<sub>3</sub> and 20% strength of a modified Hoagland solution for the other nutrients (Epstein and Bloom 2005). The Magenta boxes were covered with foil for the first 3 d, and the seedlings were gradually acclimated to light over the next 9 d.

We collected seeds of sweet gum (*Liquidambar* styraciflua L.) on the University of California Davis campus. After surface sterilization, the sweetgum seeds were bubbled in 1 mmol/L  $CaSO_4$  for 3 h, then planted in a 1:1 sand:vermiculite mix. Germination began within 14 days.

Wheat, barley, maize, amaranthus, and giant redwood seeds were surface sterilized and then germinated for several days on thick paper toweling saturated with 10 mmol/L CaSO<sub>4</sub>.

We obtained bare-root saplings and seeds of loblolly pine (*Pinus taeda* L.) from the Tree Improvement Program, North Carolina State University, and bareroot saplings of the sugar maple (*Acer saccharum* Marshall) from Musser Forests, Indiana, Pennsylvania, USA. We obtained rooted cuttings of *Flaveria pringlei* Gand., *Flaveria bidentis* L., *Flaveria chloraefolia* A. Gray, and *F. sonorensis* A. M. Powell from Gerald E. Edwards, Washington State University, Pullman, Washington, USA. We collected leaf cuttings of jade plant (*Crassula ovata* Miller) from a plant obtained from a local nursery.

Once the seeds had germinated or the cuttings had developed new roots, we transferred the plants to 19-L opaque polyethylene tubs filled with an aerated nutrient solution similar in composition to the one *Arabidopsis* received. The jade plant's solution also contained 25 mmol/L NaCl because of sodium's role in CAM (Bloom 1979, Brownell 1979). For all species, the nutrient solution was changed twice during the first week and then every 2 or 3 d.

The tubs were placed in controlled environment chambers (Conviron, Winnipeg, Manitoba, Canada) providing photosynthetic photon flux densities (PFD) of 350 and 750 µmol quanta·m<sup>-2</sup>·s<sup>-1</sup> at plant height for *Arabidopsis* and the other species, respectively. For *Arabidopsis*, the light period was 9 h at 22°C and 80% humidity, and the dark period was 15 h at 22°C and 60% humidity. For the other species, the light period was 16 h at 24°C and 70% humidity, and the dark period was 8 h at 16°C and 60% humidity. For the gas flux measurements, *Arabidopsis* plants were grown in the chambers until they were ~36 d old, the stage of maturity that has the maximum capacity for NO<sub>3</sub><sup>-</sup> assimilation (Rachmilevitch et al. 2004); the other species remained in the chambers until they reached an appropriate size for our shoot and root cuvettes. For relative growth rate measurements, plants remained in the chambers for the designated times.

### Gas flux measurements

Here we used the difference in assimilatory quotient ( $\Delta AQ$ ) between plants receiving NO<sub>3</sub><sup>-</sup> instead of NH<sub>4</sub><sup>+</sup> as a sole N source to assess shoot NO<sub>3</sub><sup>-</sup> assimilation. This approach has been verified by multiple independent methods including N isotope labeling (Cen and Layzell 2003, Bloom et al. 2010), N isotope discrimination (Bloom et al. 2010), genotypic and developmental variation (Bloom et al. 1989, Rachmilevitch et al. 2004), accumulation of organic N (Cen et al. 2001, Bloom et al. 2002), and nitrous oxide emissions (Smart and Bloom 2001). Other metabolic processes such as the water–water cycle, fatty acid synthesis,  $SO_4^{2-}$  reduction, oxaloacetate reduction, and O2 uptake by respiration in the light may influence the balance between shoot  $CO_2$ and O<sub>2</sub> gas fluxes (Ort and Baker 2002), but they are unlikely to shift rapidly and dramatically with N source and thus will not influence  $\Delta AQ$ . For example,  $Q_2$ uptake by respiration in the light does not change significantly with N source (Cousins and Bloom 2004). In addition, the number of electrons devoted to NO<sub>3</sub><sup>-</sup> assimilation is much larger than those to these other metabolic processes. For example, plants typically assimilate greater than eight times more NO3<sup>-</sup> than  $SO_4^{2-}$ . Indeed,  $NO_3^{-}$  assimilation consumes as much as one-quarter of a plant's photosynthetic electron transport (Bloom et al. 1989). The  $\Delta AQ$  also responds within seconds to changes in CO<sub>2</sub> concentration and light level. This largely excludes processes other than NO<sub>3</sub><sup>-</sup> photoassimilation. Lastly, photorespiration does not influence  $\Delta AQ$  because this process changes neither net CO<sub>2</sub> consumption nor net O2 evolution (Tolbert 1994, Foyer et al. 2009).

Measurements of  $\Delta AQ$  are not common because of the difficulty in monitoring relatively small O<sub>2</sub> fluxes against a relatively high background concentration (Hunt 2003). Nonetheless,  $\Delta AQ$  has proved to be a reliable measure of NO<sub>3</sub><sup>-</sup> assimilation in a wide variety of plants (e.g., Warburg and Negelein 1920, Cramer and Myers 1948, Van Niel et al. 1953, Bloom et al. 1989, Cen and Layzell 2003, Eichelmann et al. 2011). Moreover,  $\Delta AQ$  has the unique advantage that it provides nondestructive and real-time estimates of NO<sub>3</sub><sup>-</sup> assimilation.

We first grew the plants under ambient atmospheric conditions (~400  $\mu$ mol CO<sub>2</sub>/mol all gases present) in hydroponic culture with 0.2 mmol/L NH<sub>4</sub>NO<sub>3</sub> as the N source. When the plants approached an appropriate size to fill our shoot and root cuvettes, we subjected *Amaranthus retroflexus* to an N-free medium and the other species to a medium containing 0.1 mmol/L (NH<sub>4</sub>)<sub>2</sub>SO<sub>4</sub> for several days to deplete the tissues of

free NO<sub>3</sub><sup>-</sup>. Such periods of NO<sub>3</sub><sup>-</sup> deprivation do not diminish a plant's capacity to assimilate NO<sub>3</sub><sup>-</sup> (Mac-Kown 1987). The night before an experiment we placed a split rubber stopper around the stem to seal the shoot and root into separate cuvettes (Bloom 1989, Bloom et al. 1989). Leaves in the shoot cuvette were at their normal orientation. Net gas fluxes from the shoot were monitored with the instrumentation described previously (Bloom et al. 1989, 2002). In brief, an infrared gas analyzer (VIA-500R, Horiba, Kyoto, Japan) measured CO<sub>2</sub> fluxes, a custom O<sub>2</sub> analyzer based on heated zirconium oxide ceramic cells measured O2 fluxes, and relative humidity sensors (Vaisala, Helsinki, Finland) measured water vapor fluxes. Mass flow controllers (Tylan, Torrance, California, USA) prepared the various gas mixtures, and a pressure transducer (Validyne, North Ridge, California, USA) monitored the gas flows through the shoot cuvette. The shoots received 350 and 1000  $\mu$ mol·m<sup>-2</sup>·s<sup>-1</sup> photosynthetically active radiation (PAR) for Arabidopsis and the other species, respectively, and were maintained at 26°C. The roots of all species were in the dark at 20°C and initially received a modified Hoagland solution (Epstein and Bloom 2005) with  $NH_4^+$  as the sole N source and aerated with ambient air.

The next morning, we increased the PAR at plant height over several hours from darkness to 350  $\mu$ mol·m<sup>-2</sup>·s<sup>-1</sup> for *Arabidopsis* and 1000  $\mu$ mol·m<sup>-2</sup>·s<sup>-1</sup> for the other species before initiating the measurements. We followed a standard protocol (Bloom et al. 1980) to assess the response of photosynthetic gas fluxes as a function of internal CO<sub>2</sub> concentrations within a leaf (A- $C_{\rm i}$  measurements). We exposed each plant to between five and seven different external CO<sub>2</sub> concentrations from sub-ambient to elevated, calculated  $C_i$  from H<sub>2</sub>O exchange, and fit the net CO<sub>2</sub> and O<sub>2</sub> flux data to a biochemical model of photosynthesis that interpolated the values at regular  $C_i$  intervals (von Caemmerer 2000, Sharkey et al. 2007). We thus obtained the response of AQ to  $C_i$  under NH<sub>4</sub><sup>+</sup> nutrition. At the end of the day, we shifted wheat, barley, and Arabidopsis plants to 0.2 mmol/L KNO<sub>3</sub> as a sole N source, and the next day we conducted the same series of measurements to obtain the response of AQ to  $C_i$  under NO<sub>3</sub><sup>-</sup> nutrition.

We assessed the  $NH_4^+$  treatment first because plants do not store substantial quantities of  $NH_4^+$  within their tissues, whereas they may store substantial amounts of  $NO_3^-$  and assimilation of this stored  $NO_3^-$  might interfere with assessment of the  $NH_4^+$  treatment if we assessed the  $NO_3^-$  treatment first. In the other species, we assessed the  $NH_4^+$  and  $NO_3^-$  treatments on separate plants. The AQ under  $NH_4^+$  nutrition minus the AQ under  $NO_3^-$  nutrition equals  $\Delta AQ$ .

## Relative growth rate measurements

Five of the  $C_3$  species (*Arabidopsis*, wheat, loblolly pine, sugar maple, and sweet gum) have served as experimental material in free-air  $CO_2$  enrichment

(FACE) studies (Kimball et al. 2001, Holmes et al. 2006, Li et al. 2006, Högy et al. 2009, Natali et al. 2009). These previous studies, however, have not examined the interaction between  $NH_4^+$  vs.  $NO_3^-$  as N sources and  $CO_2$  enrichment. Indeed, such experiments would be difficult because rapid microbial transformations among N forms in soil or other solid medium prevent adequate control of a plant's N source (Epstein and Bloom 2005).

We grew the plants in controlled environmental chambers (Conviron) in 19-L opaque polyethylene tubs that contained aerated nutrient solutions with 0.2 mmol/ L KNO<sub>3</sub> or 0.2 mmol/L NH<sub>4</sub><sup>+</sup> (in the form of NH<sub>4</sub>Cl for wheat and *Arabidopsis* and NH<sub>4</sub>H<sub>2</sub>PO<sub>4</sub> for the other species) as the sole nitrogen source. The environmental chambers were equipped with non-dispersive infrared analyzers and solenoid valves that controlled chamber atmospheric concentration of CO<sub>2</sub>. The first year, we grew *Arabidopsis*, wheat, loblolly pine, sugar maple, and sweet gum in two environmental chambers, one set at ambient (~400 µmol/mol) and the other at elevated (~720 µmol/mol) CO<sub>2</sub>.

We grew the loblolly pine plants from saplings in the first year and from seed in the second year. Unfortunately, we were only able to obtain sugar maple saplings from the nursery at one time each year because of its growth habit; in the second year, they were damaged in transit, and so most plants did not survive transplanting to solution culture, irrespective of  $CO_2$  or N treatment. As an alternative for the sugar maple during the second year, we examined a CAM species, jade plant (*Crassula ovata*).

During the second year, we equipped a third environmental chamber with soda lime (a mix of Ca(OH)<sub>2</sub>, NaOH, and KOH) on the air intake and within the chamber in a 19-L tub equipped with fans to achieve sub-ambient CO<sub>2</sub> concentrations ( $\sim$ 310 µmol/ mol). Thus, we were able to grow loblolly pine, sweet gum, and jade plant under atmospheric conditions that approximate those of 50 years ago (310 µmol/mol), today (400 µmol/mol), and 50 years from today (720 µmol/mol) (IPCC 2007).

Every 3–7 days, we removed each plant from its tub, very gently blotted dry its roots with lint-free tissues (KimWipe, Kimberly Clark, Irving, Texas, USA), put it in a beaker of nutrient solution on a balance to obtain its fresh mass, and then returned it to its tub. We calculated relative growth rate (RGR), perhaps the most common and ecologically significant index of plant growth (Chiariello et al. 1989), from the change in the natural log of fresh mass of each plant over time.

We used fresh masses because this permitted repeated measurements of individual plants, a necessary compromise given the space limitations of our environmental chambers. Moreover, this approach has the advantage of decreasing sample variance. Such an approach may produce measurement artifacts because removal of plants from solution culture can suppress plant processes (Bloom and Sukrapanna 1990). We therefore took great care to minimize such artifacts by blotting the roots very gently and immediately re-submersing the roots in nutrient solution. Under such treatment, any suppression of plant processes disappeared within an hour or two (Bloom and Sukrapanna 1990). Moreover, we subjected the plants to such measurements only every 3-7 d. A key factor is that all N and CO<sub>2</sub> treatments received the same fresh mass measurements. We deemed it unlikely that the N and CO<sub>2</sub> treatments of plants in hydroponic culture would differentially influence their response to the fresh mass measurements.

In the first year, once the influence of the CO<sub>2</sub> and N treatments on the growth of the tree species became apparent (43 d after transplanting for loblolly pine, 74 d for sugar maple, and 63 d for sweet gum), we removed the differential treatments and subjected all the saplings to an ambient CO2 concentration and NH4NO3 as the N source ("Remove" in Fig. 2). After 3 weeks, we discarded from each treatment the three largest loblolly pine saplings and the two largest sweet gum saplings to reduce interplant shading; one week later, we randomized the remaining smaller plants and restored the differential treatments of ambient vs. elevated CO<sub>2</sub> and  $NH_4^+$  vs.  $NO_3^-$  ("Restore" in Fig. 2). Sugar maple tends to develop one set of leaves per growing season; by the time we finished assessing the influence of the removing the differential treatments, the sugar maple leaves were fully expanded and less likely to respond to additional experimental manipulations.

We conducted for *Arabidopsis* and wheat, respectively, 10 replicate experiments of 10 plants each and 5 replicate experiments of 6 plants each. For the tree species, we conducted in the first year an experiment with two  $CO_2$  concentrations and 6–10 plants per treatment per measurement date. In the second year, we monitored the growth of 3–10 plants of each species per treatment per measurement date.

At the end of the relative growth measurements on the jade plants, we assessed the diurnal change in titratable acidity, a measure of CAM fixation (Bloom and Troughton 1979). We harvested leaves of each treatment at the beginning and end of the light period, extracted 1.7 mL from each leaf, diluted it in 10 mL of water, and titrated it to pH 6.8 with a 1 mol/L KOH standard.

### **Statistics**

For the gas exchange experiments, we calculated the means and standard errors of  $\Delta AQ$  at regular  $C_i$  intervals (Appendix A). For the relative growth experiments, we took a running average of RGR over three consecutive measurement dates to smooth the data and performed a three-way analysis of variance using the MIXED procedure with repeated measures in SAS (version 9.1, SAS Institute, Cary, North Carolina, USA). Plant age, CO<sub>2</sub> treatment, and N source were the independent variables. Relative growth rate, the dependent variable, was square-root transformed to meet the assumptions of normality and homogeneity of



FIG. 1. The  $\Delta AQ$ , the decrease in the ratio of shoot CO<sub>2</sub> consumption to O<sub>2</sub> evolution with a shift from NH<sub>4</sub><sup>+</sup> to NO<sub>3</sub><sup>-</sup> nutrition, as a function of shoot internal CO<sub>2</sub> concentration ( $C_i$ ) for (a) eight C<sub>3</sub> species, (b) three C<sub>4</sub> species, (c) two C<sub>3</sub>-C<sub>4</sub> intermediate species, and (d) the mean  $\pm$  SE (solid line  $\pm$  shaded area) of the species conducting a particular carbon fixation pathway. We fit a biochemical model of photosynthesis to 5–7 data points to interpolate values at regular  $C_i$  intervals (von Caemmerer 2000, Sharkey et al. 2007). The curve for each individual species is the mean of 4–10 plants. The data for wheat and *Arabidopsis* are from Bloom et al. (2010). Means  $\pm$  SE for the individual species are presented in Appendix A: Fig. A1.

variance as assessed via the Shapiro-Wilk and Brown-Forsythe tests, respectively, and was repeated over four or more time points for each individual plant. Effects of carbon fixation pathway, CO<sub>2</sub> treatment, N source, or their interactions for a specific age of plant were considered significant when P < 0.05. Plotted in Figs. 2 and 3 are the predicted values and standard errors of RGR from the mixed linear model with repeated measures. Appendices B and C provide the output from the statistical analyses of RGR.

## RESULTS

The  $\Delta AQ$  in all eight C<sub>3</sub> species was significantly greater than zero at C<sub>i</sub>s below ambient atmospheric concentrations (C<sub>i</sub> < 250 µmol/mol) and declined exponentially to zero as C<sub>i</sub>s increased under CO<sub>2</sub> enrichment (Fig. 1a and Appendix A). In contrast, the  $\Delta AQs$  of the three C<sub>4</sub> species, although they were smaller than the  $\Delta AQs$  of the C<sub>3</sub> species at C<sub>i</sub>s below ambient CO<sub>2</sub> concentrations, declined only slightly under CO<sub>2</sub> enrichment and remained significantly greater than zero (Fig. 1b and Appendix A). The two *Flaveria*  $C_3$ - $C_4$  intermediates responded in a manner that was intermediate to the  $C_3$  and  $C_4$  species (Fig. 1c, d).

The annual species *Arabidopsis* and wheat grew about an order of magnitude faster than the three tree species (Fig. 2). *Arabidopsis* and wheat grew faster under  $NO_3^$ nutrition than under  $NH_4^+$  nutrition in both  $CO_2$ treatments, whereas the tree species grew faster under  $NH_4^+$  nutrition in the elevated  $CO_2$  treatment (Fig. 2; Appendix B). Under  $NH_4^+$  nutrition, an elevated atmospheric  $CO_2$  concentration stimulated the growth of *Arabidopsis*, wheat, and loblolly pine and had no significant effect on the growth of sugar maple and sweet gum (Fig. 2; Appendix B).

After several weeks of exposure to  $NO_3^-$  nutrition, elevated  $CO_2$  inhibited growth of the tree species, but had no significant effect on *Arabidopsis* and wheat. Removing the differential treatments of the tree species, that is, placing them under ambient  $CO_2$  and feeding



FIG. 2. Relative growth rate of five C<sub>3</sub> species (a) *Arabidopsis thaliana*; (b) wheat, *Triticum aestivum*; (c) loblolly pine, *Pinus taeda*; (d) sugar maple, *Acer saccharum*; and (e) sweet gum, *Liquidambar styraciflua*, in controlled environment chambers under ambient (~400 µmol CO<sub>2</sub>/mol all gases present) or elevated (720 µmol/mol) atmospheric CO<sub>2</sub> concentrations and  $NH_4^+$  or  $NO_3^-$  nutrition. Time is in days after transplanting to a hydroponic solution. Arrows designate "Remove," when we shifted all tree saplings to ambient CO<sub>2</sub> concentration and  $NH_4NO_3$  nutrition, or "Restore," when we re-imposed the differential CO<sub>2</sub> and N treatments for loblolly pine and sweet gum. Shown are the predicted values and standard errors from mixed linear models with repeated measures on the individual plants. Small error bars are incorporated into the symbols.



FIG. 3. Relative growth rate of (a, b) loblolly pine, *Pinus taeda*; (c, d) sweet gum, *Liquidambar styraciflua*; and (e, f) jade plant *Crassula ovata* in controlled environment chambers under sub-ambient (~310  $\mu$ mol/mol), ambient (~400  $\mu$ mol/mol), or elevated (720  $\mu$ mol/mol) atmospheric CO<sub>2</sub> concentrations and receiving NO<sub>3</sub><sup>-</sup> or NH<sub>4</sub><sup>+</sup> nutrition. Time is in days after transplanting to a hydroponic solution. Shown are the predicted values and standard errors from mixed linear models with repeated measures on the individual plants. Small error bars are incorporated into the symbols.

them  $NH_4NO_3$ , eliminated any differences in growth (Fig. 2c, d, e). Restoring the differential  $CO_2$  and N treatments on loblolly and sweet gum saplings for several weeks resulted again in the slower growth of the

plants subjected to  $CO_2$  enrichment under  $NO_3^-$  nutrition (Fig. 2c, e; Appendix B).

In a separate experiment, loblolly pine, sweet gum, and jade plant (*Crassula ovata*) grew in controlled environment chambers under sub-ambient (~310 µmol/ mol), ambient (~400 µmol/mol), or elevated CO<sub>2</sub> (720 µmol/mol) atmospheric concentrations and received  $NO_3^-$  or  $NH_4^+$  nutrition. One to two months after exposure to the differential treatments, the tree saplings that received NO<sub>3</sub><sup>-</sup> nutrition grew slowest under the elevated atmospheric CO2 concentration (Figs. 3a, c and 4; Appendix C). In contrast, growth of the tree saplings that received NH<sub>4</sub><sup>+</sup> nutrition showed no differential response or a slight stimulation of growth under the elevated atmospheric CO<sub>2</sub> concentration (Figs. 3b, d and 4; Appendix C). Growth of the jade plants did not vary significantly with N source and was fastest under the ambient atmospheric CO<sub>2</sub> concentration (Fig. 3e and f; Appendix C). In the jade plant leaves, the change in titratable acidity from dawn to dusk was similar among the two N sources and three CO<sub>2</sub> levels (66.0  $\pm$ 3.2  $\mu$ mol H<sup>+</sup>/g fresh mass).

#### DISCUSSION

The results of these gas flux and growth experiments support the hypothesis that atmospheric CO<sub>2</sub> enrichment interferes with the ability of C<sub>3</sub> species to assimilate NO<sub>3</sub><sup>-</sup> into organic N compounds in their shoots and that this impedes their growth. In a diverse collection of C3 species and C3-C4 intermediates, CO2 enrichment severely decreased photosynthetic O2 evolution associated with  $NO_3^-$  assimilation (Fig. 1a, c). There are obviously alternative mechanisms for  $NO_3^{-1}$ assimilation because plants under CO2 enrichment and  $NO_3^-$  nutrition continued to grow, albeit often at a slower pace (Figs. 2 and 3). One such mechanism is root  $NO_3^-$  assimilation, which may be enhanced under  $CO_2$ enrichment (Kruse et al. 2003). Unfortunately, relatively little is known about the extent to which the balance between root and shoot NO<sub>3</sub><sup>-</sup> assimilation varies within and among species (Epstein and Bloom 2005, Nunes-Nesi et al. 2010). In several species measured at ambient  $CO_2$  concentration, shoots account for the majority of whole-plant  $NO_3^{-}$  assimilation over the entire day (Bloom et al. 1992, Cen and Layzell 2003). This study establishes that CO<sub>2</sub> enrichment inhibits shoot nitrate assimilation in a wide variety of C3 plants and that this phenomenon influences whole-plant growth; therefore, shoot nitrate assimilation provides an important contribution to the performance of the entire plant.

Several physiological mechanisms may be responsible for the relationship between elevated atmospheric  $CO_2$ concentrations and shoot  $NO_3^-$  assimilation (Bloom et al. 2010). One involves the first biochemical step of  $NO_3^-$  assimilation, the conversion of  $NO_3^-$  to  $NO_2^-$  in the cytoplasm of leaf mesophyll cells. Photorespiration is the biochemical pathway in which the chloroplast enzyme Rubisco catalyzes the oxidation of the highenergy substrate ribulose-1,5-bisphosphate (RuBP) rather than catalyzes the carboxylation of RuBP through the  $C_3$  carbon fixation pathway (Foyer et al. 2009). Photorespiration stimulates the export of malic acid from chloroplasts (Backhausen et al. 1998) and increases the availability of nicotinamide adenine dinucleotide hydride (NADH) in the cytoplasm (Igamberdiev et al. 2001) that powers this first step of  $NO_3^-$  assimilation (Robinson 1987, Quesada et al. 2000).  $CO_2$  enrichment decreases photorespiration and thereby decreases the amount of reductant available to power  $NO_3^-$  reduction. In contrast, the first carboxylation reaction in the  $C_4$  carbon fixation pathway generates ample amounts of malic acid and NADH in the cytoplasm of mesophyll cells. This may explain why shoot  $NO_3^-$  assimilation is relatively independent of  $CO_2$  concentrations in  $C_4$ plants (Fig. 1b).

The dark carboxylation reaction in CAM also generates ample amounts of malic acid that is stored in the vacuoles of leaves. Perhaps this explains the similar responses of jade plant under  $NO_3^-$  and  $NH_4^+$  nutrition (Fig. 3e, f). Nonetheless, the relatively few studies on CAM plants usually find that  $CO_2$  enrichment stimulates growth and nocturnal accumulation of titratable acid (Drennan and Nobel 2000). An explanation of our results, the negative effects of elevated or sub-ambient  $CO_2$  on growth and the lack of an effect of the  $CO_2$  or N source treatments on nocturnal accumulation of titratable acid, will require additional study.

Our results also support that relative growth rate of fresh mass was an appropriate measure of plant growth. The relative growth rate for most plants became relatively steady after several weeks of exposure to a particular treatment (Figs. 2 and 3). In the three species having life spans sufficient to allow a shift in conditions (loblolly pine, sugar maple, and sweet gum), removal of the differential CO<sub>2</sub> and N treatments eliminated any differences in the relative growth rates (Fig. 2c, d, e). The sweet gum apparently was experiencing interplant shading because when we discarded the two largest saplings from each treatment, the remaining smaller plants exhibited substantially faster growth (Fig. 2e). In the two species that continually initiated new leaves (loblolly pine and sweet gum), re-imposing the differential CO<sub>2</sub> and N treatments restored the previously observed differences in the relative growth rates (Fig. 2c, e). Treatment differences in the relative growth rate of wheat observed here were consistent with those previously observed in the accumulation of dry mass and leaf area: growth at ambient CO<sub>2</sub> was slightly faster under NO<sub>3</sub><sup>-</sup> than NH<sub>4</sub><sup>+</sup> nutrition, and CO<sub>2</sub> enrichment stimulated growth more under NH4<sup>+</sup> than NO3<sup>-</sup> nutrition (Bloom et al. 2002).

Plant species vary in their dependence on  $NH_4^+$  and  $NO_3^-$  as N sources. In this study, some species, such as *Arabidopsis* and jade plant, grew faster under  $NO_3^-$  nutrition (Figs. 2a and 3e, f), whereas others such as the tree saplings grew faster under  $NH_4^+$  (Figs. 2c, d, e and 3a–d). The reasons for these differences remain uncertain, but may involve interactions with other mineral nutrients such as potassium and molybdenum (Smart and Bloom 1993). In unfertilized soils, plants tend to



FIG. 4. Sweet gum *Liquidambar styraciflua* in controlled environment chambers under sub-ambient (310  $\mu$ mol/mol), ambient (~400  $\mu$ mol/mol), or elevated (720  $\mu$ mol/mol) atmospheric CO<sub>2</sub> concentrations and receiving NO<sub>3</sub><sup>-</sup> or NH<sub>4</sub><sup>+</sup> nutrition.

acquire each N form in relation to its availability in the rhizosphere, which varies with site and season (Epstein and Bloom 2005).

This variation in the relative dependence on  $NH_4^+$ and  $NO_3^-$  could explain the observed variation in ecosystem responses to  $CO_2$  enrichment (Bloom et al. 2010, Leuzinger et al. 2011). Net primary productivity diminished under  $CO_2$  enrichment in an annual California grassland for which  $NO_3^-$  was the predominant N source (Dukes et al. 2005), presumably because  $NO_3^$ assimilation was inhibited and plant organic N compounds became limiting. In contrast, *Scirpus olneyi*, the dominant  $C_3$  plant in the Chesapeake Bay marsh, an  $NH_4^+$ -dominated ecosystem, showed a steady enhancement in photosynthesis and growth under  $CO_2$  enrichment even after a decade of treatment (Rasse et al. 2005). The response of forest ecosystems to  $CO_2$  enrichment has been variable (Korner 2006) and highly sensitive to N fertilization (Oren et al. 2001, Ellsworth et al. 2004). In forest soils,  $NO_3^-$  concentrations tend to be low, but high rates of gross nitrification (microbial conversion of  $NH_4^+$  into  $NO_3^-$ ) indicate a small but ecologically important  $NO_3^-$  pool (Stark and Hart 1997).

Sugar maple, a key species in the hardwood forests of northeastern and north-central United States and eastern Canada, is unique among trees in these forests because it grows predominantly in soils that have high rates of  $NO_3^-$  production (Lovett and Mitchell 2004). Moreover, the transport systems in sugar maple roots have a higher affinity for  $NO_3^-$  than  $NH_4^+$  (Eddy et al. 2008). During the past 50 years, sugar maple has



FIG. 5. Sugar maple *Acer saccharum* in controlled environment chambers after 10 weeks under ambient (~400  $\mu$ mol/mol) or elevated (720  $\mu$ mol/mol) atmospheric CO<sub>2</sub> concentrations and receiving NH<sub>4</sub><sup>+</sup> or NO<sub>3</sub><sup>-</sup> nutrition.

experienced a population decline characterized by crown dieback and tree mortality throughout its range (Horsley et al. 2008). This decline is usually attributed to acid rain and nutrient imbalances, although the supporting evidence is inconclusive (Minorsky 2003).

Sugar maple also differs from many species in that  $CO_2$  enrichment fails to stimulate its growth under normal soil conditions (Reid and Strain 1994, Kinney and Lindroth 1997, Gaucher et al. 2003, Kubiske et al. 2007, Eller et al. 2011). For example, after seven years of treatment in the FACE experiment at Rhinelander,

Wisconsin, USA, sugar maple showed no significant influence of  $CO_2$  treatment on growth, but its mortality tripled under  $CO_2$  enrichment (Kubiske et al. 2007). In the same experiment, aspen and birch grew larger under  $CO_2$  enrichment and the mortality of aspen halved, whereas that of birch did not change.

The results presented here demonstrate that  $CO_2$  enrichment inhibits both  $NO_3^-$  assimilation in sugar maple and stunts its growth under  $NO_3^-$  nutrition, but has no effect under  $NH_4^+$  nutrition (Figs. 1a, 2d, and 5). This suggests that the change in atmospheric  $CO_2$ 

concentration from ~320 to 400 µmol/mol experienced over the past 50 years might be a contributing factor to the decline of sugar maple. Indeed, such a change in atmospheric CO<sub>2</sub> concentration corresponds to a change in internal CO<sub>2</sub> concentration from ~180 to 260 µmol/ mol, the steepest part of the CO<sub>2</sub> response curve for NO<sub>3</sub><sup>-</sup> assimilation in sugar maple (Fig. 1a). If its ability to incorporate NO<sub>3</sub><sup>-</sup> were compromised, sugar maple might be at a competitive disadvantage with respect to other tree species that are more reliant on NH<sub>4</sub><sup>+</sup> as a N source. This hypothesis will obviously require much more additional testing.

Loblolly pine (Fig. 3a) and sweet gum (Fig. 4) receiving  $NO_3^-$  as a sole N source grew faster under sub-ambient  $CO_2$  than ambient or elevated  $CO_2$  concentrations. This suggests that recent increases in atmospheric  $CO_2$  concentrations might have already compromised the productivity of some forests and other vegetation types and could contribute to the observed higher background (non-catastrophic) mortality rates (van Mantgem et al. 2009).

These results also have implications for the relative distributions of  $C_3$  and  $C_4$  species in the future. Rising  $CO_2$  concentrations in Earth's atmosphere should favor  $C_3$  species on sites where  $NH_4^+$  is the dominant N source and should favor  $C_4$  species on sites where  $NO_3^-$  is dominant. Of course, the anticipated changes in temperature and water availability will also influence this balance (Bloom 2010).

C<sub>3</sub> plants under CO<sub>2</sub> enrichment can avoid CO<sub>2</sub> acclimation and sustain enhanced photosynthesis and growth for very long periods of time if they receive N fertilizer applications that far exceed natural mineralization rates and standard agricultural practices (Curtis and Wang 1998, Wand et al. 1999, Ainsworth and Long 2005, de Graaff et al. 2006). These applications of N fertilizer most likely enhance the availability of soil NH<sub>4</sub><sup>+</sup> and thus compensate for lower rates of shoot NO<sub>3</sub><sup>-</sup> assimilation. Such fertilizer practices, however, are neither economically nor ecologically viable on a larger scale.

This study highlights a general problem: the difficulty in determining, much less trying to control, the forms and amounts of N available to plants from the rhizosphere. The inorganic forms  $NH_4^+$  and  $NO_3^-$  are highly disparate, differing in such fundamental characteristics as charge, oxidation state, toxicity, production rates in the rhizosphere, and mobility through the soil. Here we show that the response of C<sub>3</sub> species to CO<sub>2</sub> enrichment depends on N form,  $NH_4^+$  vs.  $NO_3^-$ , but relatively few studies consider this. As atmospheric CO<sub>2</sub> levels continue to rise, the distinction between  $NH_4^+$  and  $NO_3^-$  as plant N sources will gain in ecological importance.

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#### SUPPLEMENTAL MATERIAL

## Appendix A

A figure showing  $\Delta AQ$ , the decrease in the ratio of shoot CO<sub>2</sub> consumption to O<sub>2</sub> evolution with a shift from NH<sub>4</sub><sup>+</sup> to NO<sub>3</sub><sup>-</sup> nutrition, as a function of shoot internal CO<sub>2</sub> concentration (C<sub>i</sub>) for two C<sub>3</sub> grass species, two C<sub>3</sub> herbaceous dicotyledonous species, two C<sub>3</sub> coniferous species, two C<sub>3</sub> dicotyledonous tree species, two C<sub>3</sub>-C<sub>4</sub> intermediate species, and three C<sub>4</sub> species (*Ecological Archives* E093-034-A1).

## Appendix B

SAS mixed procedure on square-root-transformed relative growth rates of plants exposed to one of two different CO<sub>2</sub> levels (*Ecological Archives* E093-034-A2).

#### Appendix C

SAS mixed procedure on square-root-transformed relative growth rates of plants exposed to one of three different  $CO_2$  levels (*Ecological Archives* E093-034-A3).