Office of the Controller and Business Services (OCBS)

Proactive

Service

Compliance

Mitigation

Training



University of California

Agriculture and Natural Resources

Making a Difference

for California

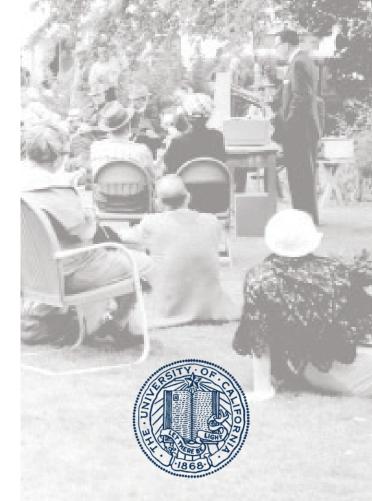
http://ucanr.edu/ocbs

The University of California prohibits discrimination or harassment of any person in any of its programs or activities. (Complete nondiscrimination policy statement can be found at http://ucanr.org/ sites/anrstaff/files/107734.doc)

Inquiries regarding the University's equal employment opportunity policies may be directed to Linda Marie Manton, Affirmative Action Contact, University of California, Davis, Agriculture and Natural Resources, One Shields Avenue, Davis, CA 95616, (530) 752-0495.

Agriculture & Natural Resources

Office of the Controller and Business Services (OCBS)



Controller

Jake McGuire

510-987-9052

jake.mcguire@ucop.edu

http://ucanr.edu/ocbs

- ANR Chief Ethics and Compliance Officer
- Liaison to the General Counsel of The Regents
- Liaison to University Auditor
- Controls Initiative Program
- Enterprise Risk Management Program
- Internal Controls
- Business and Financial Matters
- Whistleblower Complaints
- Locally Designated Official



Administrative Policies & Business

Contracts

Catherine Montano

510-987-0103 catherine.montano@ucop.edu

http://ucanr.edu/adminpolicies

- UC and ANR Policy
- Business Contracts
- Memoranda of Understanding (MOUs)
- Volunteer Group Administration (4-H, Master Gardeners)
- Legal Issues including Subpoenas, Public Records
- Records Requests and Conflict of Interest
- Delegations of Authority

ANR Oakland Computer Services

David Underwood 510-987-0072

david.underwood@ucop.edu

http://ucanr.edu/ocs/

- Computer, Server, & Web Support for ANR
- Network system Administration and Analysis
- Liaison to UC Office of the President (OP)
- Information Resources & Communications and other ANR Computer Support Units



Financial Services

Ben Chin 510-987-0704 ben.chin@ucop.edu http://ucanr.edu/financialserv

- Financial Policy & Oversight
- Cash Management
- General Ledger Maintenance
- Compliance with Governmental and UC Finance Regulations
- Coordinator of UC Financial Systems
- Document ANR Program Impacts via Current Research Information System (CRIS)
- Track Federal Funds Allocations and Expenditures via UC Corporate Financial System Data Warehouse
- Annual Expenditure Reporting
- On-Line and Ad-Hoc Corporate Reporting
- ANR Account Maintenance





Risk & Safety Services

Brian Oatman

530-752-6024 boatman@ucdavis.edu

http://ucanr.edu/risk

- Risk Management Assessment, Advice, & Consultation
- Claims Management including Incident Reports
- UC Self-Insurance Program
- Certificates of Insurance
- Facility Use Agreements (FUAs)
- http://safety.ucanr.edu/
- Develop policies, quidelines and other tools to comply with regulations
- Provide training and resources to conduct training
- Assess compliance, injuries, potential hazards, enviroment and risk



Additional Resources

For additional information go to the following sites

UCOP ERM

http://www.ucop.edu/enterprise-risk-management/index.html

UCOP Compliance

http://www.ucop.edu/ethics-compliance-audit-services/index. html

CFO Website

http://www.ucop.edu/finance-office/index.html

- ANR Training
 <u>http://ucanr.edu/sites/anrtraining/</u>
- UCOP Systemwide Policies
 <u>http://policy.ucop.edu/</u>