University of California Local Guidelines for the Staff Appreciation and Recognition Plan For Plan Year July 1, 2015 through June 30, 2016

These guidelines serve as a framework for implementation of cash recognition awards for employees under the Staff Appreciation and Recognition Plan (the "STAR Plan") at UC Agriculture and Natural Resources (ANR).

Plan Eligibility

Policy-covered career PSS and MSP employees as well as exclusively represented employees in the Clerical Unit (CX) are eligible for STAR Plan cash awards if, at the time of the award, they:

- Have successfully completed their probationary period, if applicable;
- Are on active pay status or on an approved unpaid leave; and,
- Received a "Meets Expectations" or better overall rating on their most recent annual
 performance evaluation. (<u>PPSM 23</u> requires that written performance evaluations be
 completed annually.) Employees who have not yet received an annual performance
 evaluation may be eligible for an award if their manager confirms on the nomination
 form that they are "meeting expectations."
- In addition, policy-covered and CX represented employees with the following types of appointments are also eligible to participate in STAR at this location, provided that they meet the above requirements: limited and contract.

All other exclusively represented employees are not eligible for recognition awards under the STAR Plan.

An employee may not receive an award under the STAR Plan if the employee is a participant in an incentive award plan that prohibits the receipt of a recognition award.

An employee's receipt of an award under the STAR Plan does not establish any right or guarantee that the employee will be eligible for or entitled to an award in any subsequent Plan year.

Award Limits and Restrictions—

The following limitations apply to STAR Plan cash awards-Individual or Team Awards:

 Twenty Individual Cash Awards of \$1,250 per individual will be provided within ANR.

- Two Team Cash Awards of \$1,000 per individual team member will be provided within ANR. If there are more than 12 team members on the selected team the award will be pro-rated per number of individuals and the total funding of this award will be up to \$12,000 per team, not to exceed \$24,000 overall.
- STAR Plan cash awards are strictly discretionary and may not be promised or guaranteed in advance.
- "Across-the-board" type awards (e.g., awards to a category of employees without regard to a recipient's performance or relative contribution) are not allowed under the STAR Plan. Awards must be based on the recipient's achievement as an individual or as part of a team.
- No exceptions will be made to the above monetary award limits.

Nomination Process

The attached STAR Award Nomination Form must be used for every nomination. Nominations will be accepted through **March 31, 2016**. Electronic notification will be sent to unit directors to launch the nomination process.

- Individual Award Nominations
 Individual employees may be nominated for STAR awards based on one or more of the performance standards set forth in the STAR Plan. Input will be required from the employee's manager/supervisor if someone other than the employee's manager/supervisor is nominating the employee
- Team Award Nominations

Team Awards may be granted to teams of employees who meet one or more of the performance standards set forth in the STAR Plan for work on a project within the same department or for work on the development and/or implementation of interdepartmental projects. If a team application is nominated the performance standard of "teamwork" must be completed in the application. Input will be required from the team leader and each employee's supervisor/manager if someone other than the manager/supervisor is nominating the team.

The employee's department head is responsible for confirming that the employee is eligible for an award and must approve the employee's award, regardless of the funding source for the award.

Award Review and Approval Authority

An appointed review committee will review applications submitted. The committee will select up to twenty individuals and two teams to receive awards.

The process for all STAR Plan cash awards requires endorsement of the employee's immediate supervisor and approval of the next level manager. All Individual and Team STAR Plan cash awards require the approval of the ANR Vice President.

Timing and Processing of STAR Award Payments

STAR awards will be presented no later than July 31, 2016 following the fiscal year closing.

The assigned Description of Service (DOS) code XSC, specific to the STAR Plan, <u>must</u> be used when paying awards to employees.

All STAR Plan cash awards for the Plan year should be processed in accordance with yearend closing schedules.

Funding Requirements and Restrictions

UC ANR Staff Recognition and Development Program funds, or any unrestricted funding source UC ANR selects for this purpose, will be the source of funding for the STAR Award Program offered by ANR.

Recordkeeping and Compliance

The committee will review and recommend their selection to the ANR Vice President. The committee facilitator and non-voting member is the Executive Director for ANR Human Resources and will keep appropriate records of award winners. Working with the UC ANR Business Operation Center Director or Associate Director, the UC ANR Human Resource Executive Director will also monitor the monetary awards distribution. The financial record keeping will be conducted and monitored by the UC ANR Business Operation Center.

Plan Contact for Questions

Questions regarding the ANR STAR Plan should be directed to UC ANR Human Resource Executive Director John Fox (jsafox@ucanr.edu, 530-750-1343).