

ANR Cooperative Extension Risk Assessment Risk Categories

Agreements, Contracts and Grants

General Description: **The risk that collaborative relationships and activities are not adequately supported by formal agreement. In instances where formal agreements do exist, the associated risk of non-compliance to the terms and conditions.**

Specific Examples:

- A. Cooperator does not abide by contract/agreement terms and conditions. In particular, youth in 4-HYDP activities are endangered. UC is seen as “deep pockets” by cooperators in the event of legal action.
- B. Risk in signing facility use agreements at the county level. Non-standard agreement results from misinterpretation of legal terms or from disregard for UC requirements.
- C. Field days held on cooperator’s property without written agreements.
- D. Advisors working on test/research plots without written agreements.
- E. Information and technology transfer risks associated with informal, undocumented agreements between agencies and donors.
- F. Inflexibility of UC contracts and grants policies resulting in loss of funding (also see Risk: Internal Information and Communication).
- G. Unauthorized agreements. Contracts executed by PIs and sponsors, funders without administrative review and approval (also see Risk: Advisor Activities).
- H. Agreements with outside agencies or donors for funding in return for research services.