

ANR Cooperative Extension Risk Assessment Best Practices

Funding -- Restrictions on Research

A. Training and Information Dissemination

1. Provide information to Principal Investigators (PIs) concerning UC policies and procedures regarding appropriate types of research agreements and allowable agency requirements
2. Provide information to PIs to discourage “under the table” agreements with donors/agencies in exchange for research dollars and to encourage appropriate agreements with donors/agencies within UC policy
3. Offer training opportunities/resources on UC guidelines for sponsored research
4. Communicate and support efforts to fulfill UC expectations and responsibilities for ANR PIs regarding the performance of research and how to handle expectations from donors/funding agencies
5. Increase training to include Department Head and PI training regarding UC/ANR research guidelines in statewide venues

B. Enforcement of Policy Requirements

1. Make it clear to PIs that they should not be involved in “under the table” agreements with donors or agencies for research in exchange for unrestricted donations
2. Support only those research projects consistent with ANR mission/priorities
3. Only allow variety evaluation or chemical trials for seed or chemical companies if a direct research/education component is apparent
4. Identify existing UC resources or develop criteria questionnaire for PIs to use to determine whether future research activities are consistent with UC policy and ANR mission/priorities
5. Establish tools to provide information to donors/co-operators/funding agencies about UC’s sponsored projects policies and research guidelines, including publication of research results
6. Have ANR Program Leaders provide acceptable research protocols that meet University requirements