



OFFICE OF THE VICE PRESIDENT - RESEARCH AND INNOVATION

OFFICE OF THE PRESIDENT
1111 Franklin Street, 11th Floor
Oakland, California 94607-5200

September 16, 2025

CHANCELLORS
ACADEMIC COUNCIL CHAIR PALAZOGLU
LABORATORY DIRECTOR WITHERELL
ANR VICE PRESIDENT HUMISTON

Re: Systemwide Review of Proposed Presidential Policy on Responding to Allegations of Research Misconduct

Dear Colleagues:

Enclosed for systemwide review is the Presidential Policy on Responding to Allegations of Research Misconduct (UC Research Misconduct Policy). The proposed policy updates the current [University Policy on Integrity in Research](#).

On September 6, 2024, the Office of Research Integrity (ORI) within the Department of Health and Human Services (HHS) issued revised regulations on research misconduct, [Public Health Service Policies on Research Misconduct](#) (PHS Regulations). These regulations establish the responsibilities of institutions that receive PHS funding to respond to allegations of research misconduct. The PHS Regulations went into effect on January 1, 2025, and apply to institutions starting January 1, 2026.

The UC Research Misconduct Policy includes the following:

- Publication in the correct format for UC Presidential Policies.
- Adoption of definitions related to research misconduct provided in the Federal Policy on Research Misconduct.
- Restatement that UC is committed to maintaining the integrity of scholarship and research, responding to allegations of research misconduct, and to fostering a climate conducive to research integrity in accordance with the UC Statement of Ethical Values.
- A Procedures Section that directs Locations to implement local policies and procedures for responding to allegations of research misconduct, including complying with federal policies and conditions of extramural awards.

In revising the proposed policy, RPAC engaged with UC Legal and campus Research Integrity Officers (RIOs) to revise the UC Research Misconduct Policy.

Systemwide Review

Systemwide review is a public review distributed to the Chancellors, the Chair of the Academic Council, the Director of the Lawrence Berkeley National Laboratory, and the Vice President of

Agriculture and Natural Resources requesting that they inform the general University community, especially affected employees, about policy proposals. Systemwide review also includes a mandatory, 90-day full Senate review.

Employees should be afforded the opportunity to review and comment on the draft policy. Attached is a Model Communication which may be used to inform non-exclusively represented employees about these proposals. Systemwide Labor Relations at the Office of the President is responsible for informing the bargaining units representing union membership about policy proposals.

We would appreciate receiving your comments no later than **December 19, 2025**. Please submit your comments to RPAC@ucop.edu. If you have any questions, please contact Timothy Miller at Timothy.Miller@ucop.edu.

Sincerely,



Theresa A. Maldonado, Ph.D., P.E.
Vice President, Research & Innovation
University of California, Office of the President
President, American Association for the
Advancement of Science

Enclosures:

- 1) Draft Presidential Policy on Responding to Allegations of Research Misconduct (clean copy)
- 2) Draft Presidential Policy on Responding to Allegations of Research Misconduct (tracked-changes copy)
- 3) Current University Policy on Integrity in Research
- 4) Model Communication

cc: President Milliken
Provost and Executive Vice President Newman
Executive Vice Chancellors/Provosts
Executive Vice President and Chief Operating Officer Nava
Executive Vice President Rubin
Senior Vice President Bustamante
Vice President Brown
Vice President and Vice Provost Gullatt
Vice President and Chief of Staff Kao
Vice President Lloyd
Academic Council Vice Chair Scott
Vice Provost Varsanyi
Vice Provosts/Vice Chancellors of Academic Affairs/Personnel
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Assistant Vice Provosts/Assistant Vice Chancellors for Academic Personnel
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Executive Director Anders
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Chief of Staff Beechem
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LBL Chief Human Resources Officer Crosson
Director Chin
Director DeMattos
Director Garcia
Director Weston-Dawkes
Associate Director Dicaprio
Associate Director Soria
Associate Director Woolston
Assistant Director LaBriola
Policy Manager Miller
Policy Analyst Durrin
Program Policy Analyst D'Agostino
Policy Advisory Committee