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Proactive biological control: A cost-effective management option for invasive pests

Proactive biocontrol could accelerate responses to invasive pests in urban areas — where pesticide use may be unpopular — before they spread to agricultural areas.

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Invasive pests regularly threaten California agriculture as well as the state’s diverse urban and wilderness areas. Approximately nine nonnative species of invertebrates (i.e., insects, mites, spiders, etc.) establish in the state each year, of which about three become pests (Dowell et al. 2016). These invasive species move globally through trade and tourism. Biological control programs are typically implemented as part of an integrated pest management (IPM) approach for some invasive species infestations in California. However, a proactive approach would be to screen a pest’s natural enemies and approve them for release ahead of time, before the pest establishes in California. Such a project is just getting underway.

California’s agricultural enterprises are vast (valued at $46 billion in 2015), and the state is a world leader in the development of science-based pest management solutions. Biological control and IPM originated here. IPM is a comprehensive approach to managing pests and combines plant and pest management practices, of which biological control is one, to reduce pest pressure, crop damage and pesticide use. Biological control is the intentional use of a pest’s natural enemies for suppressing population densities to less damaging levels. When a nonnative species is introduced into a new area, its population may grow and spread rapidly because predators, parasitoids or pathogens that limited population growth in the native area are not present. Classical biological control programs import, screen for safety and establish safe natural enemy species from the invader’s native area for pest control.

Biological control programs in California began 125 years ago, with numerous achievements over the years in agricultural crops (e.g., citrus, olives, grapes, alfalfa) and urban areas (e.g., ash and eucalyptus). In several cases, imported natural enemies have suppressed invasive pest populations so that they no longer require management, and in many instances they have contributed significantly to IPM programs by reducing the need to spray pesticides. When a new invasive pest becomes established, IPM programs that carefully manage insecticide use may be disrupted as spraying increases in response to pressure from the new pest. In urban areas, which can be hot spots for invasive species that threaten agriculture, pesticide use to eradicate or control an invasive pest can cause public resistance, which sometimes results in legal actions and the termination of pest control programs.

With a proactive biological control approach, natural enemies would be selected, screened and pre-approved for release before an anticipated pest invasion. That way, natural enemies could be released against a target pest at a much earlier point in the emerging

Asian citrus psyllid is arguably the most serious threat California citrus growers face, because it vectors a citrus-killing bacterium.
management program. Natural enemies could, in some cases, significantly reduce pest densities and slow rates of spread, which would lower the economic or environmental damage associated with the pest.

If biocontrol agents had been approved prior to ACP establishment

Asian citrus psyllid (ACP), a citrus pest that vectors a citrus-killing bacterium (CLas), is a high-profile example that can be used to illustrate the potential advantages of forward-planning. ACP-CLas has severely impacted citrus production in Florida, Texas and Mexico. In 2008, ACP was found infesting backyard citrus in San Diego County (Milosavljević et al. 2017). Control attempts using pesticides were expensive and ultimately did not prevent the geographic expansion of ACP in Southern California.

In 2010, a biological control program was initiated against ACP in Southern California. Exploration for ACP natural enemies was conducted in Pakistan and resulted in federal approval of two natural enemy species for release in California. Exploration, evaluation and approval steps took several years to complete (Milosavljević et al. 2017). With appropriate funding and forward-planning, these steps could have been completed before the anticipated establishment of ACP in California. Release of ACP biological control agents could have been made concurrent with ACP establishment rather than years later, and could have been used as a component of an IPM program to reduce ACP populations in the early stages of the urban invasion.

Choosing pests for proactive biological control

Identifying pests for proactive biological control is a multi-step process that is part of a larger statewide pest management system. Several factors may be considered, from the likelihood of an invasion, to the feasibility of developing a biological control program.

Pests established in other states or Baja California

Dowell et al. (2016) noted that around 46% of non-native invertebrates that establish in California come from established populations in the United States. Consider ACP, which established in Florida-grown citrus around 1995 and in California in 2008; brown marmorated stink bug, which established in Pennsylvania around 1998 and probably established in California around 2005; and South American palm weevil, which was known to be in Tijuana, Mexico, since 2010, and was detected in southern San Diego County in 2011 and likely established there around 2014.

Pests associated with produce imports

Another avenue for selecting potential target pests for proactive biological control is to work with other government agencies to continually assess the risk associated with imports of agricultural produce. Consider avocados, an iconic California crop worth around $300 million per year. The California avocado industry has no significant fruit-feeding pests to manage. However, there’s a risk of a pest invasion from the millions of pounds of avocados imported into California from countries where native fruit-feeding moths and weevils are notorious avocado pests. These pests could be proactively screened for natural enemies for potential rapid importation and release into California. This approach could reduce the enormous turmoil of a pest invasion as avocado growers adapt to managing the new pests and existing IPM programs are re-engineered to accommodate them.
Proactive biological control in New Zealand

The most aggressive adopter of proactive biological control is New Zealand, which has suffered tremendous ecological and economic damage from nonnative pests and is renowned for its strict biosecurity laws. New Zealand scientists identified two pests as targets for proactive biological control, the glassy-winged sharpshooter (GWSS), Homalodisca vitripennis, and brown marmorated stink bug (BMSB), Halyomorpha halys (Gonzalo Avila, Applied Entomology-Bioprotection Group, Plant and Food Research, Auckland, personal communication).

GWSS was identified as a significant invasion threat because it established in multiple island nations of the South Pacific and is a potentially severe problem for the New Zealand wine industry. GWSS has been the target of a very successful biocontrol program in the South Pacific with an egg parasitoid, Cosmocomoidea (formerly Gonatocerus ashmeadi), (Grandgirard et al. 2009; Pilkington et al. 2005). New Zealand scientists have identified this parasitoid for use there (Charles 2012).

BMSB was identified as a significant threat because it is regularly intercepted at New Zealand ports in cargo that originates from the United States and poses an enormous threat to New Zealand’s horticultural industries, especially apples and kiwifruit. BMSB is the target of a biological control program in the United States and California with an egg parasitoid, Trissolcus japonicus (Lara et al. 2016). New Zealand scientists have proactively screened T. japonicus in advance of presumed BMSB establishment.

What can California do?

The first attempt in California at proactive biological control focused on larval parasitoids of the avocado seed moth, Stenoma catenifer, a highly damaging pest that lives inside avocado fruit, and one identified as posing a significant invasion threat to California avocado growers (Hoddle and Hoddle 2008). In 2018, the California Department of Food and Agriculture (CDFA) initiated a new program to continue such forward-leaning work to protect California from invasive pest threats. The CDFA program will take advantage of the state’s existing expertise and resources for developing proactive biological control programs. After a list of pest targets is developed, the program will fund researchers to find and evaluate candidate natural enemy species, and develop a library of U.S. Department of Agriculture Animal and Plant Health Inspection Service (USDA-APHIS) release permits that are renewed as necessary. This proactive biological control program will allow California growers, whose businesses are so significant to the economic well-being of the state, to potentially respond more rapidly and cost effectively to new invasive pest threats.
Sustaining the remarkable scale of agriculture in the San Joaquin Valley has required large imports of surface water and an average annual groundwater overdraft of 2 million acre-feet (Hanak et al. 2017). This level of water demand is unsustainable and is now forcing changes that will have profound social and economic consequences for San Joaquin Valley farmers and communities. Land will have to come out of agricultural production in some areas. Yet, the emerging changes also provide an important opportunity to strike a new balance between a vibrant agricultural economy and maintenance of natural ecosystems that provide a host of public benefits — if the land is retired and restored strategically.

Once characterized by widespread artesian wells, the San Joaquin Valley now averages groundwater depths of over 150 feet below the surface, exceeding 250 feet in many areas. Decades of groundwater withdrawals have led to the declining reliability and quality of groundwater (Hanak et al. 2015; Harter et al. 2012), widespread land subsidence exceeding 25 feet in some areas (CADWR 2014; Farr et al. 2017) and degradation of groundwater-dependent ecosystems (The Nature Conservancy 2014). The 2011–2016 drought exacerbated the situation. Severely constrained surface water supplies resulted in a near doubling of average annual land fallowing (Melton et al. 2015) and a rapid increase in groundwater depletion. In response, during the drought in 2014, California passed the Sustainable Groundwater Management Act (SGMA).

SGMA requires communities — through newly established groundwater sustainability agencies (GSAs) — to bring their groundwater basins into balance by 2040 through implementation of groundwater sustainability plans (GSPs). When implemented, the plans are meant to stabilize groundwater levels, decrease water quality degradation and halt land subsidence. Implementation of SGMA in California is going to have a significant impact on farming, particularly in the southern San Joaquin Valley, where farmers are highly dependent on groundwater for irrigation.

In some areas, it is likely that large amounts of agricultural land will need to come out of production; some predictions suggest that as many as 500,000 acres will need to be retired over the next 10 to 20 years to achieve basin sustainability (Hanak et al. 2017).
A major opportunity lies in that scale of land use change. If portions of those retired lands are restored as a connected network of natural lands, multiple benefits could be created for farmers and San Joaquin Valley communities, in addition to helping meet groundwater sustainability. Realizing those benefits without exacerbating the impacts of the changes to this large agricultural economy is important. It will require spatially optimizing retirement and restoration of lands based on their productivity, access to water and ecosystem potential.

**Options to achieve sustainability**

GSAs are choosing strategies from among a palette of options to achieve groundwater sustainability (fig. 1). Increasing surface water supplies and recharging groundwater from dedicated recharge basins or temporary wetlands on fallowed fields will be valuable options in some basins. However, for areas with little or no surface water in many years, supply-side solutions will only address a small proportion of the deficit (Hanak et al. 2017). These parts of the San Joaquin Valley are where reducing demand will be necessary.

Options for reducing demand like crop switching and increasing water use efficiency through infrastructure improvements or soil management practices like those supported by the USDA-NRCS Environmental Quality Incentive Program (fig. 1) will be essential but also fail to fully close the deficit in the most critically overdrafted basins. In those basins, rotational fallowing and permanent retirement of some agricultural lands will be necessary.

**Multiple benefits from retiring or restoring land**

Areas that come out of production provide a range of opportunities, from habitat restoration to renewable energy (fig. 1). On lands where both agricultural productivity and potential habitat values are low, renewable energy may be among the best options (Butterfield et al. 2013; Pearce et al. 2016). On lands where the potential habitat value for natural communities is high, restoration is an important option (Butterfield et al. 2017; Lortie et al. 2018) and offers multiple other benefits. As GSAs design their plans, they might intentionally adopt strategies that secure some of these opportunities instead of leaving the lands fallow and unused or converting them to houses or industrial uses.

Converting the valley to irrigated agriculture resulted in one of the highest losses of natural diversity anywhere. The San Joaquin Valley has one of the highest concentrations of endangered species in the United States (Williams et al. 1998). Retiring and restoring parts of the farming landscape to natural habitats could significantly change the potential for recovery of dozens of endangered species in the valley (Stewart et al. 2018). The current San Joaquin Valley recovery plan for threatened and endangered upland species estimates that approximately 80,300 acres (Williams et al. 1998) of protected natural lands will be needed to recover and delist 11 species. With carefully planned restoration of some agricultural lands in the right places and in large enough, connected blocks, recovery becomes a much more realistic possibility. Species recovery, in turn, may contribute, eventually, to reducing constraints on water availability that currently protect endangered species.

Permanently restoring upland habitats that have been lost from the valley could also reduce water demand and generate other benefits for people and nature. Restored lands can provide tangible services for farmers, such as providing a reservoir of abundant native pollinators needed for crop production (Kremen et al. 2002) and natural enemies of agricultural pests that can reduce the pest burden in many crops (Bianchi et al. 2006).

Reducing the agricultural footprint may also help reduce air quality problems that are contributing to chronic human health issues in the valley (Almaraz et al. 2018; Keet et al. 2017). It will create the possibility, over time, of reducing overall nitrate loading in groundwater, which currently affects rural communities and contributes to higher rates of birth defects than state averages (Brender et al. 2013; Community Water Center 2013). Further, it could significantly contribute

**FIG. 1.** Groundwater sustainability agencies (GSAs) have many strategies to balance groundwater use in local basins. Some GSAs will be more able than others to find new surface water supplies. Agencies in areas with chronic overdraft problems will need to decrease demand. Strategies are available that would provide multiple benefits to the environment.
to helping the state meet its 2030–2050 targets for reducing greenhouse gas emissions (Cameron et al. 2018). These and other benefits, such as creating recreational opportunities for valley residents, may be the basis for public and private investments that help defray the economic costs of lost agricultural production and land restoration.

**Strategic retirement and restoration**

The San Joaquin Valley is an agricultural powerhouse. California is the largest food producer in the nation and exports food around the world. Seven of the state’s top 10 counties for food production are in the San Joaquin Valley; in 2016, those seven counties generated over $30 billion in agricultural revenue, 67% of the state total (CDFA 2017). Ask any San Joaquin Valley farmer, many of them fourth- or fifth-generation farmers, and they are justifiably proud of their legacy and the important role they play in growing food. The benefits of retiring land from agricultural use are clear, but it will come with very real costs to individual landowners, the broader community that relies on this agricultural economy and the reliability of a locally produced food supply. Thus, retirement and restoration need to be done strategically.

The Nature Conservancy and other organizations are developing and testing approaches to strategic land retirement and restoration (SLRR), whereby lands would be targeted for retirement and restoration where habitat, ecosystem service and human benefits can be best achieved with minimal additional impact to the agricultural economy and food production. The idea of land use planning to balance human needs and environmental health is not new (DeFries et al. 2004; Kennedy et al. 2016). A variety of technical tools are available to model and plan for optimizing land use to get the most benefit and minimize trade-offs (Beyer et al. 2016). For the San Joaquin Valley, these approaches can be used for spatially targeting land retirement in order to redesign the landscape in ways that offer the greatest ecosystem service benefit for local communities (e.g., open space for recreation and improved air quality) and for farmers (e.g., water reliability and pollination services).

The opportunity for SLRR will depend in part on the flexibility GSAs build into their GSPs for water trading and other mechanisms for basinwide water management. Consolidating retired and restored lands into the most optimal locations will be most effective when paired with water-trading options that allow landowners to support retirement of land in other GSAs or basins in exchange for water use rights on highly productive farmland kept in production.

**Incorporating land retirement and restoration into GSPs**

Without coordinated planning, land retirement is not likely to occur in ways that achieve the highest benefit. In many cases, GSAs may have limited capacity, knowledge and financial resources for incorporating land retirement and restoration into their GSPs. In addition,
to demonstrate the potential of SLRR, further work is needed to develop and evaluate different scenarios of land use that include land retirement and restoration based on different options and values. We need direct, on-the-ground experiments of land restoration to measure the costs and benefits, refine methods for land restoration, and resolve questions about the exact types and amounts of benefits that can be derived. Another need is collaborative exploration of funding mechanisms to compensate farmers for lost production and to pay for land restoration. Therefore, new partnerships and broad collaboration are needed to shape San Joaquin Valley land retirement in a way that increases the long-term viability of agriculture while improving social and environmental outcomes.

An emerging partnership between Pixley and Lower Tule GSAs (Tulare County) and The Nature Conservancy to develop a pilot project is one example of such a collaboration. The Nature Conservancy is providing scientific capacity to inform where SLRR can best be positioned in the Tule subbasin, using analyses to evaluate optimal selection of lands for SLRR and to quantify potential water quality and greenhouse gas benefits. South Valley Water Association is working with the GSAs to identify landowners willing to implement on-the-ground restoration experiments that demonstrate how to design, fund and implement land restoration. Collaboratively, we are identifying and working to secure public and private funding that can support broad-scale implementation of SLRR as an important part of the solution to groundwater sustainability for GSAs.

Incorporating SLRR into GSPs will be most successful when GSP priorities are aligned with, or supported by, other planning tools. County general plans, regional conservation investment strategies, natural community conservation plans and habitat conservation plans will all play a role in ensuring land retirement unfolds in a way that maximizes benefits and minimizes economic impacts to San Joaquin Valley communities. Making sure these planning efforts include SLRR, and that they can be successful in achieving multiple benefits that serve many members of the community, will require partnerships and collaboration between counties, state and federal agencies, and the local GSAs.

Meeting the long-term sustainability goals of SGMA will require land use changes. Planning for that eventuality in a strategic way could transition the San Joaquin Valley landscape to one that is more agriculturally, socially, economically and ecologically resilient.

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Possible impacts of rising CO$_2$ on crop water use efficiency and food security

Understanding the mechanisms involved in plants’ response to rising CO$_2$ levels may lead to the development of crop plant varieties better adapted to future drought conditions.

California and the rest of the world are experiencing major changes in the availability of water and the concentration of atmospheric carbon dioxide (CO$_2$). Water and CO$_2$ — along with energy from the sun — are the inputs to photosynthesis, the basis of plant growth and food production. Elevated CO$_2$ can influence the water use efficiency and yield of crop plants. A clearer understanding of the mechanisms involved in those responses may lead to the development of crop plant varieties better adapted to expected future water and CO$_2$ conditions.

In recent years, the United States has experienced an increased frequency of heat waves and drought and related loss of crops (Lesk et al. 2016). In 2014, drought cost California an estimated $2.2 billion in lost agricultural production (Howitt et al. 2015). In California and elsewhere, the changing climate, population growth and mandates for sustainable management of surface water and groundwater are likely to make water for irrigation more scarce in the future.

From 1950 to 2000, global atmospheric CO$_2$ concentration rose 33%. It continues to climb (fig. 1) and is a major cause of the continuing rise in global temperature (Victor et al. 2014). Average temperatures in California have risen 2.5°F since 1880, and this rate is expected to increase over the next century due to emissions of greenhouse gases (Pathak et al. 2018). Among other impacts, the rising temperature is expected to reduce the winter snowpack, effectively reducing California’s water storage capacity and useful water supply (PPIC 2016).

CO$_2$ and stomatal pore apertures

Research in our laboratory investigates the mechanisms by which CO$_2$ elevation and drought cause closing of leaf stomata. Plant stomata are pores on leaf surfaces that enable the intake of CO$_2$ and the release of water vapor. Over 90% of the water lost by plants through evapotranspiration is released via stomata (Hetherington and Woodward 2003). Each stoma is surrounded by two specialized guard cells that open and close the central gas exchange pore (fig. 2). CO$_2$ closes stomatal pores, by entering the guard cells, where it is converted to bicarbonate. The increased concentration of bicarbonate is thought
to activate molecular switches called protein kinases, which in turn open channels that release ions from the guard cells (Hu et al. 2010). The release of ions reduces the turgor pressure of the guard cells, in effect deflating them and closing the stomatal pore (fig. 2) (Engineer et al. 2016). This process is part of the daily cycle of plant photosynthesis and respiration (in which the glucose generated by photosynthesis provides energy for the plant). After the sun sets and plants can no longer photosynthesize, respiration increases the CO₂ concentration inside plant leaves, causing the stomata to close.

**Potential for water use efficiency gains**

Optimization of CO₂-controlled stomatal closing could lead to development of plant varieties for water-scarce environments that lose substantially less water per carbon fixed by photosynthesis. Our research has identified two enzymes, β-carbonic anhydrases βCA1 and βCA4, which, when overexpressed in guard cells in *Arabidopsis thaliana*, enhance CO₂-regulation of stomatal closing while maintaining functional drought and light responses. This resulted in an average of approximately 40% less water loss by the plant per carbon fixed via photosynthesis in the laboratory (Hu et al. 2010). In another example, overexpression of epidermal patterning factor 1 and 2 (EPF1 and EPF2) in barley (*Hordeum vulgare*), HvEPF1, reduced the density of stomata without affecting grain yield. The reduced stomatal pore apertures (Hu et al. 2010) and density (Hughes et al. 2017) resulted in improved water use efficiency and drought resistance, pointing to applications for water-scarce environments (Hu et al. 2010; Hughes et al. 2017). Since plants draw water out of the soil and accelerate deep soil drying, reduced stomatal apertures and density can serve to maintain more water in the soil, thus reducing damaging effects of longer-lasting droughts. The effects of changing stomatal physiology have yet to be evaluated in crop plants grown in the field, but these laboratory studies illustrate the potential for advances.

**Related potential for increased yield**

While stomatal closure at elevated CO₂ or lower stomatal density may improve water use efficiency in crops in water limited environments, the opposite manipulations could be effective in the future to maximize yield in regions with ample water and precipitation. In regions where water and soil nutrients are sufficient, increasing crop productivity is a priority. In these regions, developing plants that respond less strongly to CO₂ in their stomatal closing response — thus enabling enhanced CO₂ intake by leaves — may result in increased yields.

There are several distinct forms of photosynthesis that plants use. Two common forms are C3 photosynthesis in plants such as wheat, beans, tomatoes, rice, soybeans and all trees; and C4 photosynthesis in plants such as maize, quinoa and sorghum. C3 crops are also grown in temperate regions of the world with ample supplies of water. C3 photosynthesis is less efficient than C4 photosynthesis, and C3 photosynthesis is not yet working at maximum capacity at present atmospheric CO₂ concentrations. For C3 plants growing with sufficient water and nutrients, maintaining more open stomata at our present high atmospheric CO₂ levels may be beneficial for yields depending on the crop type (Mohammed 2013).

For C3 crops — like wheat, beans, tomatoes, rice, soybeans and all trees — maintaining more open stomata in a high-CO₂ environment with sufficient water and nutrients may in some cases improve yields.
For C4 crops like maize, quinoa and sorghum, reducing stomatal apertures while enabling optimal CO\textsubscript{2} intake for C4 photosynthesis may increase water use efficiency.
An advanced understanding of the mechanisms and genes that mediate CO2 regulation of stomata could become valuable for better adjusting C3 plants in light of the steeply increasing atmospheric CO2. For most C4 crops, photosynthesis is generally already working at maximum capacity, due to the increased atmospheric CO2 concentration; such crops would thus instead be candidates for the manipulations described above that aim to increase water use efficiency by reducing stomatal apertures while enabling optimal CO2 intake for C4 photosynthesis.

**Stomatal density, heat tolerance**

One important potential complication: elevated CO2 also tends to reduce the density of stomata on leaves of some plant species (Woodward 1987). This effect, together with CO2-induced reduction in stomatal apertures, can reduce a plant’s capability to cool itself because of reduced evaporation of water from leaves. This may increase susceptibility to heat stress (Engineer et al. 2016), which in turn can affect water use and yield.

In a recent study of soybeans exposed to elevated CO2, the CO2-induced reduction in stomatal density and apertures resulted in increased leaf temperature and a net increase in water demand, compared to soybean plants grown in environments with lower CO2 concentrations (Gray et al. 2016).

Thus, the effects of stomatal manipulations will need to be tested in the field and their benefit may depend on the heat response of individual crops.

**Future outlook**

Present research advances have led to an initial understanding of mechanisms by which plants sense CO2 concentration and transduce the CO2 signal to regulate water loss. This research is enabling the development of plants with increased water use efficiency and potentially improved yields, depending on growing conditions. However, many of the proteins and genes that make up the CO2 signal transduction network still remain unknown, and how these network components interact within the network is largely not understood at present. Uncovering the missing links in CO2 signal transduction is the focus of work in our laboratory and in other laboratories. Future research will be required to fully uncover all genes and proteins that cause CO2 regulation of stomatal pores. This research promises to provide not only an in-depth understanding of how plants respond to CO2 concentration changes, but also will provide advanced tools for breeding aimed at optimizing those responses to water and CO2 and climate conditions. This research is needed to help safeguard the California and U.S. agricultural economy and the food, feed and fuel it provides.

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Grafting standard tomato varieties onto pathogen-resistant rootstocks may be as effective as soil fumigation

In Europe and Asia, producers of fresh market tomatoes often use grafted plants — scions of a popular market variety grafted on to rootstocks that are especially vigorous or resistant to soilborne diseases. California growers rarely use grafted plants now, but there’s increasing interest in whether grafting could maintain high yields in pathogen-infested fields without soil fumigation.

A worldwide review of all available published trial data on the fruit quality and yield of grafted tomatoes was recently undertaken by project scientist Michael L. Grieneisen and professor Minghua Zhang in the Department of Land, Air and Water Resources at UC Davis, and UC Cooperative Extension farm advisors Brenna J. Aegerter (San Joaquin County) and C. Scott Stoddard (Merced County). The data came from 159 publications and 126 locations around the world, including a few in California.

The researchers warn that grafting is no magic bullet, but it may allow growers to reduce fumigant use. In the small number of trials that directly compared grafted plants to fumigation treatments in pathogen-infested fields, the grafted plants often gave higher yields. Other studies without fumigation treatments showed that pathogen resistance in some rootstocks was often strong enough to achieve high yields in pathogen-infested conditions.

Maxifort was the most common rootstock used in the trials. It is resistant to seven pathogens: corky root rot, Fusarium wilt races 1 and 2 (but not 3), Fusarium crown and root rot, Verticillium wilt, nematodes and tomato mosaic virus. That makes it a good candidate for fields, or parts of fields, that cannot be fumigated because of regulatory restrictions. In late July 2018, the authors harvested their third year of California grafted tomato trials, and are preparing a more California-focused article on their results.


24 hours of high temperature affects avocado ripening, causes disorders

California avocados often are exposed to high temperatures after harvest, either in the field or during preconditioning (ethylene treatment), especially in summer. It’s been known that long periods of high temperatures can delay ripening time and reduce fruit quality, but a new study indicates pronounced effects after only short periods of high temperature following harvest. Authors of the study concluded that it’s important to maintain avocados at temperatures below 25°C following harvest and that the ideal temperature to ripen the fruit is 20°C. The authors also found that ripening below 20°C resulted in significantly longer ripening times and resulted in poorer coloration of the ripened fruit.

Mary Lu Arpaia, UC Cooperative Extension specialist, Jim Sievert and Sue Collin, staff research associates (retired) in the Department of Botany and Plant Sciences at UC Riverside, working with David Obenland, research physiologist with the USDA Agricultural Research Service, Parlier, studied for two seasons holding avocados from multiple harvest times for the first 24 or 48 hours of the ripening period at...
high temperatures (20°C to 35°C), with and without ethylene. In the third season, they made a detailed assessment of ripening temperatures (15°C to 25°C) on ripening time and fruit quality.

Results from the first two seasons showed that even a 24-hour exposure to temperatures of 25°C and above inhibits ripening and increases postharvest disorders such as stem end rot and body rot. In season 1, the incidence of stem end rot increased from 9.7% at 20°C to 32.3% at 35°C, and body rot increased from 3.9% to 20.2% for the same treatment comparison. Ethylene applied during the exposure period was ineffective in preventing the disorders.

In the third-season trial, temperature was also shown to be critical. Fruit ripened below 20°C took slightly longer to ripen. Additionally, the authors found that the ripened fruit at either 15°C or 18°C remained more green than fruit ripened at the higher temperatures. Avocados ripened above 20°C were more likely to develop pink discoloration in the mesocarp. Ripening temperature had no effect on overall likeability, or ratings of grassy or rich flavor.

Aiding climate-stressed species by protecting habitat across elevations

Mountainous areas provide habitat for disproportionately high numbers of plant and animal species. As the planet warms, species native to mountainous areas may adapt to altered climate conditions by extending their ranges to different altitudes. Protecting mountain habitat can meaningfully contribute to species survival and diversity — but if protected areas are established at only a portion of the elevations over which species will be moving, they may ultimately fail to preserve species.

To understand elevation patterns in existing protected areas, and to gain insight into the elevations at which future protected areas might optimally be established, researchers led by Paul R. Elsen — a conservation research fellow in UC Berkeley’s Department of Environmental Science, Policy, and Management — analyzed the elevational distributions of more than 44,000 protected areas situated across more than 1,000 mountain ranges. Within various geographical regions, the team calculated the proportion of elevational gradients that are protected across at least 17% of their extent (a minimum standard established by an international agreement known as the Convention on Biological Diversity).

Elsen and his colleagues found that, if a strict definition of “protected areas” is employed, about three-quarters of the world’s mountain ranges fail to meet the Convention’s 17% protection target across at least one-half of their elevational gradients. The research team also determined that nearly 40% of the world’s mountain ranges do not contain any protected areas at all. These deficiencies could, as climate change progresses, undermine protected areas’ ability to protect biodiversity.

Elsen and his colleagues suggest that elevation zones that are currently underrepresented by protection be prioritized when future protected areas are established. Otherwise, they say, protecting 17% of the land distributed across mountain regions’ elevational gradients will require that nearly half the world’s total mountainous area be incorporated into protected areas.

Going deeper into social vulnerability: A study of a dystopic novel

The 1972 necro-futurist novel The Sheep Look Up by John Brunner is about the opposite of sustainability. It depicts a society where social and ecological resilience has been fatally undermined. The “sheep” are humans who are so concerned with everyday problems of survival that they cannot comprehend the whole picture of what’s happening or adapt, so they remain passive and the global ecological and economic apocalypse occurs. The novel may be useful in environmental science studies, to contemplate human agency in a world going wrong.

Kate O’Neill, associate professor in the Department of Environmental Science, Policy, and Management at UC Berkeley, has analyzed the themes and topics of the novel that resonate for social science theorists and teachers in environmental social sciences. It provides a counterfactual analysis by opening “a window into how the world might have been without, for example, the U.S. Environmental Protection Agency (EPA) or the U.N. Environment Programme (UNEP),” she writes. It warns what will happen if we do not act, and it models the transition from a bad situation to an apocalyptic one.

Environmental changes are hard to see on the ground and therefore hard to grasp. “Storytelling and the building of characters, settings, and a novel’s trajectory” help with that, writes O’Neill. She brings in examples from New York magazine, television and film. Any imaginative work that helps people draw connections “serves a positive function in academic disciplines that deal with complex problems and uncertain futures,” O’Neill says. She especially recommends The Sheep Look Up as an unconventional type of “scenario analysis,” to discuss the complex conditions under which communities become vulnerable to an unraveling of the social fabric and how things come back together again.

A bird repellent stops voles from girdling citrus trees

Voles girdle fruit trees and vines, causing extensive damage near the base of the trunks. Tree guards and rodenticides may control them, but the guards are expensive and rodenticide use is very restricted in food crops. A bird repellent, anthraquinone, may be the answer growers have been seeking.

Two scientists in the Department of Wildlife, Fish, and Conservation Biology at UC Davis — UC Cooperative Extension specialist Roger Baldwin and staff research associate Ryan Meinerz — worked with Scott Werner and Gary Witmer from the USDA National Wildlife Research Center in Colorado to trial anthraquinone on 1-year-old citrus trees planted in 3.3 meter-by-2.1 meter fiberglass tubs recessed in the ground. Anthraquinone is a naturally occurring compound that works as a postigestive repellent — after ingesting it from treated material, birds and voles avoid it.

Anthraquinone was applied to the base of the tree trunks in 10 of the tubs. The control consisted of untreated trees in another 10 tubs. Half of each tub had been planted with cover crops known to be liked by voles, and the other half was kept vegetation free. Two voles were released in each tub and tree trunks were monitored for damage weekly for 5 weeks in summer and 6 weeks in spring.

The reduction in vole girdling to treated trees was 90% to 100% when compared with untreated trees across both seasons. No girdling damage was observed on treated trees in vegetation-free areas during summer, although vegetation removal did not seem to impact vole girdling damage during spring. Damage to trees in the first week of treatment, a necessary step for voles to learn to avoid the repellent, was minimal and unlikely to have a long-term impact on tree health. The repellent was effective through the length of the trial periods, with efficacy likely extending well beyond the observed time frame, but more research is needed on its longevity to assess its cost effectiveness in relation to tree guards.


Fresh produce in low-income areas: High cost and low quality

Fresh fruit and vegetable consumption lowers the risk of chronic disease and delivers other important health benefits. While fewer than 10% of Americans meet dietary recommendations for fruits and vegetables, people of low socioeconomic status consume even fewer fruits and vegetables than their higher-income counterparts. A number of studies have examined whether healthy food is less available in low-income communities than in higher-income locations, but little research has focused on the quality and affordability of healthy food in low-income communities.

To redress this gap, a team of researchers led by Wendi Gosliner — a project scientist at the Nutrition Policy Institute of the UC Division of Agriculture and Natural Resources — analyzed data that the California Department of Public Health gathered between 2011 and 2015 from stores in 225 low-income neighborhoods in the state. Gosliner and her colleagues analyzed produce availability, quality and price in the nearly 1,500 retail stores in the database, which included large groceries, small markets and convenience stores. Gosliner’s team sought to identify patterns involving store type and produce availability, quality and price. The team also examined stores’ participation in the Supplemental Nutrition Assistance Program (SNAP) and the Supplemental Nutrition Program for Women, Infants, and Children (WIC).

The researchers determined that prices were higher in low-income neighborhoods than in a set of comparison stores, with prices in large grocery stores in these areas charging 27% more for produce, on average, than comparison supermarkets; convenience stores, meanwhile, charged more than twice as much, on average, as comparison supermarkets. While large grocery stores in low-income areas generally offered high-quality produce, few convenience stores sold high-quality fruit (25%) or high-quality vegetables (14%). Stores participating in nutrition assistance programs, however, featured more, more varied, and higher-quality produce than did nonparticipating stores. The researchers suggest that more work is needed to determine how to provide low-income communities with better access to high-quality, affordable produce. They also suggest that programs such as WIC and SNAP might represent part of the solution.

Streamflow availability ratings identify surface water sources for groundwater recharge in the Central Valley

The STARR web tool estimates how much and when surplus surface water occurs in each watershed in the three Central Valley basins to help water planners expand groundwater banking.

by Helen E. Dahlke and Tiffany N. Kocis

Abstract

In California’s semi-arid climate, replenishment of groundwater aquifers relies on precipitation and runoff during the winter season. However, climate projections suggest more frequent droughts and fewer years with above-normal precipitation, which may increase demand on groundwater resources and the need to recharge groundwater basins. Using historical daily streamflow data, we developed a spatial index and rating system of high-magnitude streamflow availability for groundwater recharge, STARR, in the Central Valley. We found that watersheds with excellent and good availability of excess surface water are primarily in the Sacramento River Basin and northern San Joaquin Valley. STARR is available as a web tool and can guide water managers on where and when excess surface water is available and, with other web tools, help sustainable groundwater agencies develop plans to balance water demand and aquifer recharge. However, infrastructure is needed to transport the water, and also changes to the current legal restrictions on use of such water.

California’s Central Valley produces more than 400 commodities and 17% of the U.S. total agricultural production (valued at nearly $54 billion in 2014) on just 1% of the land in the contiguous United States (CDFA 2015). The massive agricultural production in the Central Valley has resulted in critical groundwater overdraft, triggering state legislation in 2014 to require sustainable management of groundwater basins. There is growing interest in flooding fields during the winter with surplus surface water to recharge underlying groundwater basins; to make this happen, farmers first need to understand the physical distribution and occurrence of excess surface water, particularly the most promising source — high-magnitude streamflows.

Agriculture in the Central Valley consumes nearly 40% of California’s annual water supply (surface water, groundwater and reused water developed for agricultural, environmental and urban uses), much of it during summer, when surface water supplies are relatively limited (Hanak et al. 2011). Across urban, environmental and agricultural sectors, groundwater accounts for 38% of the state’s water supply during a normal year, reaching upward of 48% during a dry year (DWR 2015). The constant use of groundwater over the past century has led to a groundwater overdraft in the Central Valley of over 150 million acre-feet (Faunt 2009). During the 2012–2016 severe drought, groundwater depletion averaged 8.1 million acre-feet per year (Xiao et al. 2017).

With the passage of the Sustainable Groundwater Management Act (SGMA) in 2014, landowners are now required to implement groundwater sustainability plans by 2040 (SWRCB 2014). One increasingly considered approach to achieve groundwater sustainability is managed aquifer recharge, which places more water in groundwater aquifers than would otherwise naturally occur (Bouwer 2002; Brown and Signor 1974; Dillon 2005; Kocis and Dahlke 2017; Scanlon et al. 2016). Managed aquifer recharge uses a variety of water...
sources (e.g., river water, treated wastewater, desalinated water) and recharge methods (e.g., infiltration basins, injection wells, farmland) to replenishing aquifers (Dahlke et al. 2018; Dillon 2005; Russo et al. 2015).

In recent years, there has been growing interest in flooding farmland with excess surface water in winter to recharge groundwater (Bachand et al. 2014; Dahlke et al. 2018; Kocis and Dahlke 2017). This approach, called on-farm recharge or agricultural groundwater banking, is capable of capturing large volumes of water, particularly from high-magnitude streamflows from storm events, which occur frequently during the rainy winter season. However, as groundwater sustainability plans are developed, tools are critically needed to understand the physical distribution and occurrence of such excess surface water flows.

Although aquifer recharge can be conducted with any available water (e.g., stormwater, recycled water, desalination, surface water), high-magnitude streamflows (i.e., flood flows) likely represent the most accessible and largest source of water available for future expansion of groundwater banking (Harter and Dahlke 2014; Kocis and Dahlke 2017; Scanlon et al. 2016). Demand for this water during the winter from the agricultural sector is relatively low. Reservoirs often make flood control releases of stored water in anticipation of large storm events, and these underutilized releases are expected to increase in frequency and magnitude in the coming decades as a result of climate warming (Das et al. 2013; Dettinger 2011; Hanák and Lund 2012; Yu et al. 2015).

Additionally, despite overallocation of surface water by the State Water Resources Control Board (SWRCB) (Grantham and Viers 2014; Scanlon et al. 2016), there seems to exist an abundance of surface water available during the winter and wet years, resulting in flood risk for much of the Central Valley (DWR 2016), which could potentially be reduced by capturing high-magnitude flows.

**In recent years, there has been growing interest in flooding farmland with excess surface water in winter to recharge groundwater (Bachand et al. 2014; Dahlke et al. 2018; Kocis and Dahlke 2017).**

To evaluate high-magnitude streamflow for groundwater recharge efforts, we conducted a statistical analysis of historical daily streamflow records to provide insights into its physical availability and spatial distribution (Kocis and Dahlke 2017). Our goal was to create an index and web application of high-magnitude streamflow availability for groundwater recharge across the Central Valley, including a relative comparison of watersheds for different time periods (e.g., November to April), using the statistical analyses presented in Kocis and Dahlke (2017), that could be used by stakeholders for improved water resources management.

**Historical data on high-magnitude flows**

We used historical daily streamflow data from United States Geological Survey (USGS) stream gauges in the Central Valley that had more than 50 years of data (93 sites total, visible in figure 1). Gauge sites were classified as impaired or unimpaired (i.e., unaffected by artificial diversions, surface water storage or other works of humans; Slack et al. 1994) by cross-referencing the sites with the Hydro-Climatic Data Network (HCDN). Thirteen of the 93 sites were unimpaired.

This study used the 90th percentile of streamflow, calculated from the full record of available streamflow data, to designate what constituted a physically available high-magnitude flow. Most of the stream gauges are located downstream of large surface water reservoirs, thus they are representative of high-magnitude flows not captured and stored by surface water reservoirs (e.g., flood releases from reservoirs). Using the 90th percentile was motivated by several factors. First, while most surface water in California is legally allocated by the SWRCB, high-magnitude streamflow (i.e., runoff from big storm events including flood flows and reservoir releases for flood control) during the winter is often not. The SWRCB does not currently consider high-magnitude flows for permanent water right/permit applications or water planning in California, but estimates surface water availability purely on long-term average flow, which ignores a large fraction of the streamflow available during the winter rainy season. Second, the 90th percentile is often used by the USGS and the environmental flow community to designate flows as “much above normal,” or as “high” (Henriksen et al. 2006; Olden and Poff 2003; Richards 1990; USGS 2016). Hence, we adopted this threshold for our designation of high-magnitude streamflow.
Streamflow metrics
For each stream gauge, five statistical metrics were calculated to inform on the availability of high-magnitude flows: magnitude, duration, timing, intra-annual frequency and interannual frequency (Kocis and Dahlke 2017). Magnitude is the total flow volume above the 90th percentile. Duration is the number of days above the 90th percentile. Timing is the day of the hydrologic year (DOHY) of the center of mass (COM) of flows above the 90th percentile; COM is defined by the day when 50% of the total flow volume above the 90th percentile in a given time period (e.g., winter) has passed. Intra-annual frequency is the count of 1-day peaks that occur over the 90th percentile; a 1-day peak occurs on a day when the flow is higher than both the previous day and the next day. Interannual frequency is the fraction of years with flow above the 90th percentile. Not all years in the historical records have flow above the 90th percentile; therefore, these years were excluded from the calculation of the magnitude, timing, duration and intra-annual frequency metrics. This process is referred to as zero deflation.

Calculation periods
All metrics reflect the average value over specific calculation periods. Given potential changes in the flow regime due to the construction of dams or diversions within the watershed of each gauge, the metrics were calculated over two periods of record: (1) the full record of available data and (2) the record of data since the most recent impairment (i.e., post-impairment period). For the Sacramento River Basin and the San Joaquin Valley (comprised of the San Joaquin River Basin and the Tulare Lake Basin), the post-impairment periods of record are 1970 to 2014 and 1989 to 2014, respectively.

Flow metrics were also calculated for different time periods and the five water year types (critical, dry, below normal, above normal and wet) defined in the San Joaquin Valley Index and Sacramento Valley Index (SWRCB 1995, Null and Viers 2013). Time periods were the hydrologic year (Oct. 1 to Sept. 30), the winter rainy season (November to April), the winter season (December to February) and each month between November and April.

Streamflow availability ratings
We developed a streamflow availability rating for recharge (STARR) based on three of the five high-magnitude streamflow metrics that emerged to be the most indicative for the availability of excess surface water from high-magnitude flow: magnitude, duration and interannual frequency.

STARR was calculated using an empirical weighting method, the rank-ordered centroid weighting method (Barron and Barrett 1996), that combines the weighted, ranked equal-area ratings of the magnitude, duration and interannual frequency metrics for the contributing watershed of each stream gauge. For the watersheds of the 93 stream gauges analyzed by Kocis and Dahlke (2017), STARR was determined for three time periods: the hydrologic year, November to April, and December to February.

Watershed scale
The STARR index displays the high-magnitude flow availability (developed from point gauge locations) for groundwater recharge at the watershed scale. The upstream contributing watershed was derived for each of the 93 stream gauges using the 1-arc-second National Elevation Dataset (USGS 2009). Watersheds were delineated in ArcGIS with a drainage area threshold (flow accumulation threshold) equal to 1% of the maximum flow accumulation. If several stream gauges exist along the same river, the contributing areas were visually overlaid such that smaller upstream watersheds remain on top of larger downstream watersheds. For accuracy, the upstream drainage areas were compared to those provided by USGS.

Four-step process
The STARR calculation process included four steps:
First, three spatial flow metrics, V/A, D/P and YWF, were developed for the watershed of each of the 93 stream gauges. The magnitude, V (in cubic kilometers), was standardized by the watershed area, A (square kilometers). The duration, D, was standardized by the
number of days in the calculation period, \( P \) (e.g., 90 days from December to February), and the interannual frequency (fraction of years with high-magnitude flow) was left unchanged and notated as \( YWF \).

Then, using an equal-area classification method, the spatial flow metrics were scored from 1 to 6. First, values in each metric were sorted from smallest to largest. Next, the score was determined relative to other watersheds in the study region, the Central Valley, by breaking the metric values into six equal-area classes with the same number of watersheds in each class.

Next, the rank-ordered centroid method (Barron and Barrett 1996) was used to develop an additive multi-attribute model for the three spatial flow metrics to calculate the final numeric STARR value. The model allows developing an empirical formula to calculate the STARR and determining weighting coefficients for the three spatial flow metrics considered in the formula based on their relative importance as outlined in Barron and Barrett 1996. In this formula (see equation (1) below), the interannual frequency was given the highest weight (considered the most important factor), duration was given the lowest weight (considered the least important factor, of the three) and the weight on magnitude was chosen to fall in between. The resulting STARR formula was

\[
\text{STARR} = 0.611 \times \text{ranked}(YWF) + 0.277 \times \text{ranked}(V/A) + 0.111 \times \text{ranked}(D/P) 
\]  

The final STARR ratings were calculated by entering the first round of values from step 2 into equation (1). Using an equal-interval ranking, the resulting numeric STARR values (ranging from 1 to 6) were then split into six equal intervals corresponding to the STARR classes (e.g., \( 1 \leq \text{numeric STARR} < 1.83 \) is very poor). The numerical STARR values were categorized as very poor, poor, moderately poor, moderately good, good and excellent.

Watersheds with a high STARR (i.e., excellent rating) are generally characterized by large high-magnitude flows that persist for a high number of days and recur, on an interannual basis, with high frequency. Conversely, watersheds with a low STARR (very poor rating) generally contribute less high-magnitude flow than other watersheds within the study area, and the flow occurs only for a very short period of time each year, and recurs, on an interannual basis, with low frequency. A high STARR value corresponds to watersheds that have excellent physical surface water availability relative to other watersheds in the Central Valley, while a low STARR value corresponds to watersheds that have very poor physical surface water availability.

**Optimal month rating**

We used the STARR index to also develop a decision support tool for the optimal time when high-magnitude streamflow is available for recharge across the Central Valley. We developed the optimal month rating (OMR) to answer the question: “For any watershed, what is the month in which most high-magnitude flow is physically available?” The OMR identifies the month within a time period of interest that provides the greatest water availability and highest flow reliability (longest duration,
TABLE 1. Spatial distribution of streamflow availability ratings for recharge (STARR) for the contributing areas of 93 stream gauges within the Sacramento River Basin and San Joaquin Valley

<table>
<thead>
<tr>
<th>STARR</th>
<th>December to February</th>
<th>November to April</th>
<th>Hydrologic year</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>acres # sites</td>
<td>acres # sites</td>
<td>acres # sites</td>
</tr>
<tr>
<td>Excellent</td>
<td>2,022,829   13</td>
<td>2,140,252 13</td>
<td>109,142 3</td>
</tr>
<tr>
<td>Good</td>
<td>4,295,153   17</td>
<td>5,470,998 18</td>
<td>2,664,422 22</td>
</tr>
<tr>
<td>Moderately good</td>
<td>11,042,192 22</td>
<td>9,360,678 16</td>
<td>4,401,728 20</td>
</tr>
<tr>
<td>Moderately poor</td>
<td>12,846,026 12</td>
<td>2,039,866 15</td>
<td>2,839,750 21</td>
</tr>
<tr>
<td>Poor</td>
<td>2,164,866   15</td>
<td>2,435,685 17</td>
<td>12,586,170 19</td>
</tr>
<tr>
<td>Very poor</td>
<td>3,032,128   14</td>
<td>13,955,715 14</td>
<td>12,801,980 8</td>
</tr>
</tbody>
</table>

Between December and February, 30 of the 93 watersheds have excellent or good streamflow availability, an area of over 6.3 million acres.

greatest frequency and/or largest volume) and is determined by estimating the highest monthly STARR value for each watershed in a given time period (e.g., November to April).

OMR is determined in a similar fashion to STARR, but instead of comparing one watershed to another watershed, OMR compares the water availability in a single watershed from one month to another month within a given time period. OMR is determined for two time periods: December to February and November to April.

Streamflow availability for recharge highest in Sacramento River Basin

STARR was calculated for the Sacramento River Basin, the San Joaquin River Basin and the Tulare Lake Basin — an area of over 35.4 million acres (about one-third of the land surface of California) (fig. 1). Kocis and Dahlke (2017) estimated that in an average year with high-magnitude flow (e.g., years when streamflow exceeds the 90th percentile flow), between November and April approximately 1.88 million acre-feet (2.31 cubic kilometers) and 0.97 million acre-feet (1.2 cubic kilometers) of high-magnitude flow are exported from the Sacramento River Basin (USGS site 11447650) and the San Joaquin River Basin (USGS site 11303500), respectively, to the Sacramento–San Joaquin Delta.

Most of the watersheds with the greatest high-magnitude flow availability are in the Sacramento River Basin, which generally receives more precipitation than the southern Central Valley. The interannual frequency indicated that those watersheds carry high-magnitude flows on average in 7 to 9 out of 10 years, while watersheds in the San Joaquin River Basin and Tulare Lake Basin carry high-magnitude flows on average in only 2 to 5 out of 10 years (Kocis and Dahlke 2017).

STARR shows distinct patterns for the high-magnitude flow availability in winter and during the hydrologic year (fig. 1; table 1). Between December and February, 30 of the 93 watersheds have excellent or good streamflow availability, an area of over 6.3 million acres, or 17.8% of the study area (table 1). These watersheds are primarily in the Sacramento River Valley and the northern San Joaquin Valley and include mainly unimpaired tributaries from the Coast Ranges and the Sierra Nevada (fig. 1A). Watersheds with poor or very poor December to February STARR are primarily High Sierra watersheds, where streamflow availability during winter is limited because most precipitation falls as snow and only becomes snowmelt runoff during the spring and early summer. In contrast, the Tulare Lake Basin is ranked moderately poor. Here, streamflow availability is limited, occurring only during winter (December to February) when rainfall produces runoff, or flooding during wet years.

For the November to April period, the distribution of watersheds with excellent and good streamflow availability for recharge (fig. 1B, table 1) is similar to that for the December to February period. Most of those watersheds are in the Sacramento River Basin, occupying about 42% of the basin. Streamflow availability for recharge in the San Joaquin River Basin and Tulare Lake Basin is predominately moderately poor to very poor, with a few moderately good ratings on the west side of the San Joaquin River. Most of the valley floor of the Tulare Lake Basin has very poor streamflow availability for groundwater recharge.

During the hydrologic year (Oct. 1 to Sept. 30), streamflow availability for groundwater recharge varies between good and very poor throughout the Central Valley (fig. 1C). The Tulare Lake Basin generally has very poor excess streamflow availability; high-magnitude streamflow availability in the largest tributaries to the San Joaquin River from the Sierra Nevada is either poor or moderately poor. The low ratings can be attributed to the low interannual frequency at which high-magnitude flows occur and the low overall magnitude of those flows compared to the size of the watersheds.

Within the Sacramento River Basin during the hydrologic year, most watersheds below major rim reservoirs, including the upper Sacramento and Feather rivers, are rated poor or moderately poor, while most unimpaired streams and headwater catchments are rated good or moderately good. Unimpaired watersheds in the Sacramento River Basin lack major control structures (e.g., surface reservoirs, major diversions) and therefore carry high-magnitude flow for longer periods throughout the winter and, in some cases, the shoulder
months (September to November, March to July), which results in good and moderately good STARR for the hydrologic year. For most of the impaired streams in the Sacramento River Basin, the high-magnitude flow availability in spring, summer and fall is regulated or prevented by major reservoirs.

**Optimal months for recharge identified**

In the Sacramento River Basin, the OMR indicates that for the December to February period, February is the ideal month for groundwater recharge in terms of streamflow availability for recharge (fig. 2). In the San Joaquin River Basin and Tulare Lake Basin, the ideal month for groundwater recharge is January for the December to February period, and December for some of the Sierra Nevada watersheds. During December to February, water availability stems primarily from rainfall, not snowmelt.

Excess surface water availability that includes snowmelt is reflected in the November to April OMR (fig. 2B), which identifies March as the ideal month for the San Joaquin River Basin and Tulare Lake Basin, and February, again, as the ideal month for the Sacramento River Basin. In the Sierra Nevada tributaries, April is the ideal month for streamflow availability, clearly indicating snowmelt as the main source.

**Web tool for decision-making**

The streamflow availability metrics (magnitude, timing, duration, interannual and intra-annual frequency of flow above the 90th percentile) and OMR maps are available as an interactive web tool (fig. 3) at [http://recharge.ucdavis.edu/STARR](http://recharge.ucdavis.edu/STARR). More information on it is provided in the technical appendix.

Web-based decision support tools have proven useful in supporting farmers and landowners in decision-making processes. For agricultural groundwater banking, several such tools help identify suitable on-farm recharge locations. For example, the Soil Agricultural Groundwater Banking Index (SAGBI) ([casoilresource.lawr.ucdavis.edu/sagbi/](http://casoilresource.lawr.ucdavis.edu/sagbi/)) developed by O’Geen et al. (2015) recommends recharge locations based on soil suitability by considering five factors: soil profile percolation rate, root zone residence time, chemical limitations, topography and soil surface conditions. Crop suitability research for groundwater recharge is available for alfalfa (Dahlke et al. 2018) and almonds (Volder et al. 2016). The California Department of Water Resources recently published a detailed land use survey of cropland parcels within California, distinguishing 12 different land use classes ([gis.water.ca.gov/app/CADWRLandUseViewer/](http://gis.water.ca.gov/app/CADWRLandUseViewer/)). This land use survey represents the most accurate (i.e., in terms of cropping system and spatial accuracy) land use and land cover dataset available to date for water resources planners for water budget calculations.

Our STARR web tool provides another layer of information that growers, landowners and water district managers can use to plan agricultural groundwater banking programs as part of their operations or to develop groundwater sustainability plans. We envision the STARR web tool being used at a local scale with other tools. For example, to identify suitable recharge areas within groundwater management areas, SAGBI could be used to identify areas with suitable soils, and infrastructure maps could be used to identify areas with suitable crops, the eWRI (Electronic Water Rights Information Management System) database could be used to identify current surface water right holders, and infrastructure maps could be used to identify areas with the necessary conveyance infrastructure. The intersection of soil suitability, crop suitability, land use, conveyance infrastructure, legal water availability, and physical water availability (STARR) is the ideal location to conduct an agricultural groundwater banking project.

A recent survey conducted by the Public Policy Institute of California indicates that many water district managers cite infrastructure capacity constraints as a major barrier to the expansion of existing recharge programs (Hanak et al. 2018); many water districts and counties currently lack the infrastructure to divert high-magnitude flows (DWR 2017; O’Geen et al. 2015). The STARR web tool can be used to advise the planning and expansion of water conveyance systems at district and watershed scales to deliver water to suitable recharge lands, since it provides detailed information on the volumes and timing of when high flows are available. Comparing peak flow volumes to the capacity of existing conveyance systems allows water managers to conduct agricultural groundwater banking projects as part of their operations or to develop groundwater sustainability plans. We envision the STARR web tool being used at a local scale with other tools. For example, to identify suitable recharge areas within groundwater management areas, SAGBI could be used to identify areas with suitable soils, and infrastructure maps could be used to identify areas with suitable crops, the eWRI (Electronic Water Rights Information Management System) database could be used to identify current surface water right holders, and infrastructure maps could be used to identify areas with the necessary conveyance infrastructure. The intersection of soil suitability, crop suitability, land use, conveyance infrastructure, legal water availability, and physical water availability (STARR) is the ideal location to conduct an agricultural groundwater banking project.

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to determine the need for expansion or new construction of conveyance infrastructure.

**Transfers from north to south**

As indicated in figure 1, streamflow availability for groundwater recharge in the Central Valley displays a clear north-south gradient, with highest availability in the Sacramento River Basin. The availability of excess surface water is spatially disparate to agricultural land suitable for groundwater recharge. The most highly rated soils (with a SAGBI rating of excellent, good or moderately good) are located on the broad alluvial fans on the east side of the Central Valley (near the Mokelumne, Stanislaus, Merced, Kern and Kings rivers); in the Sacramento Valley, suitable land is limited to narrow alluvial bands along the Sacramento River and its major tributaries.

This spatial disparity in water availability and suitable recharge locations seen in nearly every visualization of STARR suggests long-term investment is needed in statewide water projects that could convey excess surface water from the Sacramento River Basin to suitable land in the southern Central Valley. Water transfers would allow balancing the critically overdrafted Kern, Kings, Tule, Kaweah, and Tulare Lake groundwater basins, where there is a generally high water demand (DWR 2016).

OMR indicates that water transfers from the Sacramento River Basin, where high-magnitude flow is available as early as November, to the San Joaquin and Tulare Lake Basins could be made early in the winter, when crops such as alfalfa and almonds are still dormant and before local flood flows from the High Sierra become available in March or April. Such water transfers would allow local groundwater sustainability agencies to address many of the undesirable results listed in SGMA, including land subsidence, lowering of the water table and groundwater storage depletion.

Additionally, knowledge that in the San Joaquin River Basin and Tulare Lake Basin most high-magnitude flow originates from spring snowmelt could motivate changes in reservoir operation policies; more water from reservoirs could be released during late fall or early winter for groundwater recharge to create increased flexibility for flood management of more intense precipitation events (Hanak and Lund 2012; Maurer and Duffy 2005).

**Water rights, legal use of flows**

Given that groundwater recharge is not considered a "beneficial use" in the California Water Code (California Water Code 2017), the legal use of the high-magnitude flows calculated in this study remains questionable for the near future. Landowners and water districts planning new groundwater recharge programs will likely have to obtain a new surface water right or change an existing water right.

The SWRCB currently estimates the water availability for a new appropriative surface water right using a method similar to the rational runoff method (Kuichling 1889; SWRCB 2001), which estimates the average annual unimpaired runoff at a diversion point of interest considering only the contributing area, average annual precipitation and the land use within the watershed (SWRCB 2001). This conservative method is used to ensure that there is "unappropriated water available to supply the applicant" (Water Code section 1375(d)), while accounting for "the amounts of water..."
needed to remain in the source for protection of beneficial uses” (Water Code section 1243), such as recreation and the preservation of fish and wildlife habitat.

However, as indicated by Grantham and Viers (2014), in many areas of California, mainly the Central Valley, surface water has been overallocated to the extent that surface water rights account for nearly 10 times the natural surface water supplies. This, theoretically, precludes any additional appropriation of surface water. Yet, over-appropriation is, to a large extent, an artifact of the water availability analysis conducted by the SWRCB, which is based on average annual flows and does not take into account the large variability in streamflow. Hence, new permitting approaches that would legally permit the use of high-magnitude flow for groundwater recharge are needed.

Allowing a water right permit for the diversion of “high flow” could bridge the gap between policy requirements (such as the need for a temporary or permanent water right for surface water diversions), legal requirements (for stream reaches that are already legally over-appropriated) and physical surface water availability for groundwater recharge (in the form of flood flows during above normal or wet years). Such permits would have to agree on legally acceptable high flow thresholds at the point of diversion to ensure that high flow diversions for groundwater recharge do not cause injury to existing water rights holders or environmental flow considerations.

Permits could be restricted to the winter period, from November to March, and define strict in-stream flow requirements (e.g., the passage of channel-forming flows or fall flushing flows for sediment and nutrient transport) (see Kocis and Dahlke 2017 for a more detailed discussion of these considerations). Solving these regulatory challenges to groundwater recharge, along with a potential expansion of infrastructure capacity, will open new avenues to greater water security in California. 

References


http://nationalmap.gov/evaporation


http://calag.ucanr.edu • JULY–SEPTEMBER 2018
Survey of the pathogen of Alternaria late blight reveals different levels of carboxamide fungicide resistance in the main pistachio producing regions of California

Resistance was greatest in counties at the northern and southern ends of the Central Valley, where weather conditions are conducive to pathogen infection.

by Paulo Lichtemberg, Ryan Puckett, Daniel Felts, Yong Luo, Lorene Doster, David Rodriguez and Themis Michailides

Abstract

Alternaria late blight (ALB), caused mainly by the fungal pathogen Alternaria alternata, is an important pistachio disease that causes severe tree defoliation and fruit shell staining. Its control relies on multiple fungicide sprays, including carboxamide fungicides. In 2015, we surveyed 35 orchards representing nine pistachio producing counties of California to determine the current situation of Alternaria resistance to four widely used carboxamide fungicide active ingredients. This survey showed that isolates collected in the northern (Tehama, Glenn and Colusa counties) and southern (Tulare, Kings and Kern counties) Central Valley presented higher frequencies of carboxamide resistance than isolates collected from orchards in the central region (Fresno, Madera and Merced counties). The number of carboxamide usages in a year is the main factor determining elevated resistance. By extracting the A. alternata DNA and sequencing the carboxamide target genes, we evaluated the prevalence of specific molecular alterations (mutations) associated with carboxamide fungicide resistance. Finally, we identified cross-resistance patterns among different carboxamide fungicides, leading to recommendations about combinations to avoid.
ALB control relies on up to three fungicide applications, which must be applied between June and the first week of August (before the appearance of disease leaf lesions) to be effective (Adaskaveg et al. 2017; Michailides et al. 2016). Carboxamides, also known as succinate dehydrogenase inhibitors, or SDHIs, are the primary tool for ALB control in pistachios. Formulations of four carboxamide active ingredients (a.i.) — boscalid, fluxapyroxad, fluopyram and penthiopyrad — are registered in California. Commercially available products include solo formulations as well as mixtures with quinone outside inhibitors and demethylation inhibitors (table 1). All these carboxamide fungicides target a single pathogen site blocking the fungal respiration process, and thus are prone to resistance selection (Oliver and Hewitt 2014; Stammler et al. 2015).

In California, Pristine (a.i. boscalid) was the first modern carboxamide registered to control ALB in pistachio, and resistance of *A. alternata* was observed just two seasons after its registration in 2003. Since then, resistance has become widespread. In 2005, 12% of *A. alternata* isolates collected from a commercial orchard in Kern County, where boscalid had been used for two successive years, with two or three sprays per season — showed high levels of resistance (Avenot and Michailides 2007). Five years later, isolates highly resistant to boscalid accounted for 59% of the sampled population in California (Avenot et al. 2014).

The increased number of isolates with high levels of carboxamide resistance may ultimately lead to practical resistance that affects the efficacy of these fungicides. Although Avenot et al. (2012) reported a lack of disease control due to boscalid resistance in several California pistachio orchards, trials at the UC Kearney Agricultural Research and Extension Center in Parlier and at commercial pistachio orchards in California show that carboxamide fungicides, including boscalid, continue to provide consistent disease control (Adaskaveg et al. 2017).

It is difficult to predict when carboxamide field failure may occur. Carboxamide resistance surveys and the regular molecular characterization of the pathogen population can help to provide growers and pest control advisers updated information that may influence fungicide recommendations.

While previous reports have included valuable information concerning the carboxamide resistance of the ALB pathogen in California pistachio (Avenot et al. 2014), information on regional variations in resistance has not been published. Regional-level information on resistance can help to guide growers’ carboxamide application decisions.

Our study evaluated *A. alternata* resistance by region to the four carboxamide fungicides registered for pistachio and investigated the molecular basis of resistance. We focused on isolates from the three regions that account for 99.3% of California pistachio production (ACP 2017). We defined the northern region as Colusa, Glenn and Tehama counties, the central region as Fresno, Madera and Merced counties, and the southern region as Kern, Kings and Tulare counties (fig. 2).

### Fungal isolates used in this study

From May through July 2015, we collected a total of 167 *A. alternata* isolates from 35 commercial pistachio orchards in the three regions (fig. 2). Carboxamides have been used for many years in all orchards sampled. The mean number of carboxamide applications per year

![FIG. 2. A California map showing the counties and orchards (yellow dots) where isolates were collected. Tehama, Colusa and Glenn counties (pink); Merced, Madera and Fresno counties (blue); and Tulare, Kings and Kern counties (green).](http://calag.ucanr.edu)
for each region is summarized in table 3. We tested 48 isolates from the northern region, 59 from the central region and 60 from the southern region. Isolates were recovered from asymptomatic leaves using the overnight freezing incubation technique (ONFIT), followed by a purification to select a colony single conidia (fig. 3).

Testing pathogen sensitivity to carboxamide fungicides

The sensitivity of *A. alternata* to each carboxamide a.i. was determined as the concentration of the fungicide that inhibits fungal growth by 50%, known as the EC<sub>50</sub> value (fig. 4). The EC<sub>50</sub> value is the standard measure of fungal sensitivity to fungicides. To obtain the sensitivity values, fungicide stock solutions were prepared at a concentration of 10 grams a.i. per liter. The fungicides used were as follows: technical grade boscalid (a.i. 99%, BASF, The Chemical Company), fluopyram (a.i. 99.13%, Bayer CropScience) and penthiopyrad (a.i. 99.5%, DuPont Company), each diluted in acetone; and the commercial product of fluxapyroxad (Sercadis 300 SC, BASF, The Chemical Company) diluted in sterile deionized water. Each stock solution was diluted in autoclaved yeast-bacto-agar media at final concentrations of 0 (control), 0.01, 0.03, 0.12, 0.48, 1.92, 7.68, 30.72 and 122.88 µg/ml. For each tested isolate, a 5-mm mycelial plug was transferred to a fresh plate containing yeast-bacto-agar medium, amended with one of the above fungicide concentrations. Plates were incubated for a week before measuring colony diameter. Each isolate EC<sub>50</sub> value corresponds to the dosage that inhibits the colony by 50% relative to the growth at the 0 dose (without fungicide). Linear regression functions were used to determine these values.

For the purposes of analysis, we assigned six sensitivity categories, or phenotypes — from highly resistant...
to highly sensitive — to ranges of EC\textsubscript{50} values (table 2) (Avenot et al. 2014).

### Genetic mutations associated with carboxamide resistance

DNA mutations in fungal pathogens are one source of resistance to fungicides (Oliver and Hewitt 2014). To identify genetic mutations associated with carboxamide resistance, we used a molecular approach, gene sequencing, to test the presence of different point mutations at the AaSdh\textsubscript{B}, C and D genes. In total, six different mutations were studied, the H277Y/L/R at the AaSdh\textsubscript{B} gene, the H134R and S135R at the AaSdh\textsubscript{C} gene, and the D123E at the AaSdh\textsubscript{D} gene. The absence or presence of different mutations determines which genotype the A. alternata isolate belongs to.

### Statistical analysis

The EC\textsubscript{50} values were obtained by first making the logarithm (log\textsubscript{10}) transformation of the fungicide concentrations and then performing linear regressions of the colony inhibition values by the log\textsubscript{10} concentrations and then performing linear regressions of the cumulative frequency distribution of two datasets at a time. The arithmetic means of EC\textsubscript{50} values for the regions were calculated separately. Significant differences were calculated statistically (P < 0.05) linear regression equations obtained for each isolate. Changes in sensitivity density curves were analyzed with the two-sample Kolmogorov-Smirnov test by comparing the cumulative frequency distribution of two datasets at a time. The arithmetic means of EC\textsubscript{50} values for the regions were calculated separately. Significant differences were verified with the Welch two-sample t-test, Pearson correlation analysis was used to determine cross-resistance among the four tested carboxamides. The statistical software R (version 3.4.0) was used for data analysis and graphical representation.

### A. alternata resistance to carboxamide in California

The A. alternata resistance survey to carboxamides fungicides showed different levels of sensitivity among and within the California regions where the isolates were collected. Our data on sensitivity density distribution, mean sensitivity value (EC\textsubscript{50} value), and the frequency of isolate phenotypes demonstrate that the northern and southern pistachio producing regions possess a greater number of isolates with higher resistance to carboxamides than the central region. The results for A. alternata sensitivity density distribution show similar curves for isolates collected from the northern and southern regions when testing boscalid (fig. 5A; P = 2.5 × 10\textsuperscript{-7}) and penthiopyrad (fig. 5D; P = 4.0 × 10\textsuperscript{-8}).

The mean EC\textsubscript{50} values obtained for different carboxamides corroborate the information above, where the sensitivity values obtained for the central region (14.62, 5.3, 5.14 and 3.89 µg/ml) were statistically (P < 0.05) lower than the values encountered from the northern (48.76, 18.68, 46.02 and 26.73 µg/ml) and southern (41.16, 27.7, 42.92 and 16.46 µg/ml) regions for boscalid, fluopyram, fluxapyroxad and penthiopyrad, respectively (table 3).

The frequency of sensitivity phenotypes within regions for the tested fungicides showed two major trends. First, isolates exhibiting moderately resistant (MR) and highly resistant (HR) phenotypes tend to be more prevalent in the northern and southern regions (fig. 6). Second, highly sensitive (HS) and sensitive (S) phenotypes together were dominant within the central population. Furthermore, a detailed phenotype frequency analysis revealed that the two most resistant phenotypes (MR and HR) from the north accounted for higher frequencies of isolates tested with boscalid (fig. 6A; 62.4%), fluxapyroxad (fig. 6B; 64.6%) and penthiopyrad (fig. 6D; 47.9%), but not for fluopyram (fig. 6C; 31.2%), where the two intermediate sensitivity phenotypes, reduced sensitivity (RS) and low resistant (LR), were present in 41.7% of isolates (fig. 6C). In the south, the same analysis showed that MR and HR phenotypes accounted for higher frequencies when tested for boscalid (fig. 6A; 51.7%) and fluxapyroxad (fig. 6B; 64.6%); but for penthiopyrad, higher frequencies were observed for the RS and LR phenotypes together (fig. 6D; 48.2%). The phenotype frequency for fluopyram in the southern region showed a balanced distribution among the two most sensitive (HS and S; fig. 6C; 38.3%), the two levels of resistance (phenotype*) according to the EC\textsubscript{50} value

<table>
<thead>
<tr>
<th>Range of EC\textsubscript{50} value</th>
<th>Level of sensitivity (phenotype)</th>
</tr>
</thead>
<tbody>
<tr>
<td>&lt; 0.01 µg/ml</td>
<td>Highly sensitive (HS)</td>
</tr>
<tr>
<td>0.01–1 µg/ml</td>
<td>Sensitive (S)</td>
</tr>
<tr>
<td>1–5 µg/ml</td>
<td>Reduced sensitivity (RS)</td>
</tr>
<tr>
<td>5–10 µg/ml</td>
<td>Low resistant (LR)</td>
</tr>
<tr>
<td>10–100 µg/ml</td>
<td>Moderately resistant (MR)</td>
</tr>
<tr>
<td>100 µg/ml &lt;</td>
<td>Highly resistant (HR)</td>
</tr>
</tbody>
</table>

* Fungicide sensitivity phenotypes according to Avenot et al. (2014).
**TABLE 3.** Comparison of the pistachio producing regions of California regarding the mean EC50 value and number of carboxamide (SDHI) spray application per season

<table>
<thead>
<tr>
<th>Region</th>
<th>Boscalid</th>
<th>Fluopyram</th>
<th>Fluxapyroxad</th>
<th>Penthiopyrad</th>
<th>Mean SDHI application (range)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>EC50 (µg/ml)*</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Northern</td>
<td>48.76 a</td>
<td>18.68 a</td>
<td>46.02 a</td>
<td>26.73 a</td>
<td>0.5 (0–1)</td>
</tr>
<tr>
<td>Central</td>
<td>14.62 b</td>
<td>5.3 b</td>
<td>5.14 b</td>
<td>3.89 b</td>
<td>0.6 (0–2)</td>
</tr>
<tr>
<td>Southern</td>
<td>41.16 a</td>
<td>27.7 a</td>
<td>42.92 a</td>
<td>16.46 a</td>
<td>0.8 (0–1)</td>
</tr>
</tbody>
</table>

* Sensitivity value was compared using the Welch t-test (significance at P < 0.05).

**FIG. 5.** *Alternaria alternata* sensitivity density distribution for carboxamides fungicides (A) boscalid, (B) fluopyram, (C) fluxapyroxad and (D) penthiopyrad on the three major pistachio producing areas of California. EC50 values were log transformed prior to plotting. The figure shows that for all carboxamide fungicide used in this study, *A. alternata* isolates from the northern (Tehama, Glenn and Colusa counties) and southern (Kern, Kings and Tulare counties) Central Valley counties are shifted significantly toward resistance in comparison to the central counties (Fresno, Madera and Merced).
FIG. 6. Frequency of *Alternaria alternata* sensitivity phenotypes for carboxamide fungicides (A) boscalid, (B) fluxapyroxad, (C) fluopyram and (D) penthiopyrad on the three major pistachio producing areas of California. HR = highly resistant, MR = moderately resistant, LR = low resistant, RS = reduced sensitivity, S = sensitive and HS = high sensitivity.

TABLE 4. The frequency of *Alternaria alternata* genotypes associated with carboxamide fungicide resistance in California pistachio
Genetic mutations of surveyed ALB isolates

In California, 46.3% of the *A. alternata* population belongs to the genotype I, composed of isolates without any described mutation associated with carboxamide resistance, meaning that they are sensitive to carboxamide fungicides (table 4). Of the isolates carrying mutations associated with carboxamide resistance, the genotype IV (H134R) was most often observed, with 40.7% of the total surveyed population, followed by genotype II (H277Y), accounting for 10.5% (table 4) of the total population. With very low frequencies, the isolate mutants D123E (genotype VII), S135R (genotype VIII) and H277L (genotype IX) accounted for 1.3, 0.6 and 0.6% of the population, respectively (table 4). The genotype III (H277R), reported in past surveys, was not found in our study.

The two most observed mutations conferring carboxamide resistance in our study — H134R and H277Y — were also those found most frequently within the *A. alternata* populations of peach in South Carolina, where H134R and H277Y genotypes accounted for, respectively, 49.2% and 19% of the total studied isolates (Yang et al. 2015). However, the frequencies of the H134R and H277Y mutations we observed in *A. alternata* differed from those reported from a survey in California pistachio orchards performed by Avenot et al. (2014), which found higher frequencies of H277Y than H134R on isolates collected in 2010.

We believe these differences can be explained by two factors: (a) the use of different carboxamide a.i. selecting for different mutations and (b) the higher fitness or adaptability of H134R in comparison with H277Y when competing against isolates without any mutations, the sensitive isolates.

The evidence for the first hypothesis comes from the early 2000s. At that time, the fungicide Pristine (premixtures of a.i. boscalid plus pyraclostrobin) was the most commonly used carboxamide to control ALB disease, and selected mostly for the H277Y genotype followed by the H134R (Avenot et al. 2014). Years later, with the registration for pistachio of Fontelis (a.i. penthiopyrad), Merivon (containing the a.i. fluxapyroxad) and Luna package (containing the a.i. fluopyram), the use of Pristine decreased, changing the mutation frequencies of *A. alternata* isolates within the California population to H134R (40.7%) and H277Y (10.5%) (table 4).

Stammer et al. (2015) have described how mutation type is related to the use of specific carboxamides. To corroborate this information, Sierotzki et al. (2010) described the major selection of H277Y mutation on *A. alternata*, by the solo use of boscalid, and the selection for H134R when using the a.i. isopyrazam and the boscalid/pyraclostrobin mixture. In California, the use of Fontelis, Merivon and Luna products (a.i. penthiopyrad, fluxapyroxad and fluopyram, respectively), may also have contributed to modify the genotype.
composition of the A. alternata population, but the extent to which genotype modifications occur in response to the use of the a.i. products has yet to be studied. Recent, preliminary studies in two commercial orchards in Tulare County, where fluopyram (a.i. of Luna products) was sprayed twice each season for the last 2 years, showed the persistence of ALB pathogens carrying the H134R mutation (P. Lichtemberg, unpublished data).

Our second hypothesis, regarding the relative fitness of the H134R genotype over H277Y when mixed with sensitive genotypes, is supported by Fan et al. (2015). Their study of peach isolates of A. alternata carrying the H277Y and H134R mutations found that the mutant genotypes were not out-competed by sensitive isolates over the course of five successive transfers in the absence of carboxamide, and their frequencies stabilized at about 60% (H134R) and 30% (H277Y) from an initial 50%-50% mixture. In pistachio populations of A. alternata, studies of competition between resistant mutants and sensitive isolates have not been performed, but observations on spore production and mycelial growth suggest advantages of H134R over H277Y (P. Lichtemberg, personal observation).

Carboxamide cross-resistance

Carboxamide cross-resistance — when an isolate resistant to one fungicide is found to also be resistant to another fungicide belonging to the same chemical group — was tested for the whole study population (n = 167) and revealed weak to moderate resistance relationships among the four carboxamides (fig. 7). Resistance to boscalid showed a moderate relationship to resistance to fluxapyroxad (r = 0.40) and penthiopyrad (r = 0.46), and a weak relationship to resistance to fluopyram (r = 0.26). Among the studied interactions, resistance to both fluxapyroxad and penthiopyrad revealed the highest positive correlation coefficient and intensity (r = 0.65; see ellipse shape, fig. 7). Additionally, resistance to fluopyram was moderately correlated to resistance to penthiopyrad (r = 0.56) and fluxapyroxad (r = 0.49; fig. 7).

These results suggest that isolates resistant to boscalid have a higher risk of resistance selection from treatment with fungicides containing penthiopyrad and fluxapyroxad, than from treatment with fluopyram. This observation strengthens the recommendation made by Avenot et al. (2014) that Fontelis (a.i. penthiopyrad) and Merivon (a.i. fluxapyroxad) should be avoided or carefully used in areas with a history of Pristine usage (containing the a.i. boscalid). The relatively high correlation of fluopyram with penthiopyrad and fluxapyroxad may be associated with the high frequency of isolates carrying the H134R mutation in the California population.

The cross-resistance patterns for carboxamides are complex and are related to different levels of resistance conferred by different mutations, and the role of each mutant genotype within the population (Olaya et al. 2016; Sierotzki et al. 2010; Stammler et al. 2015). Regardless of the role of any particular genotype in the development of cross-resistance, it is reasonable to conclude that resistance to different carboxamides may be always associated with the application of carboxamides, and that overuse of these products should be avoided.

Final remarks and recommendations

In this study, we reported that A. alternata sensitivity to carboxamide fungicides in the northern and southern pistachio producing regions of California was shifted toward resistance in comparison to the central region. We also observed that fluopyram (one a.i. component of Luna fungicides) is the carboxamide a.i. causing the least selection of isolates with altered sensitivity, meaning that fluopyram presents the highest levels of activity against ALB in pistachio. The sensitivity results obtained with fluopyram in our studies in vitro reflect the results obtained in recent field trials performed at Kearney Agricultural Research and Development Center, University of California, Davis.

FIG. 7. Cross-resistance levels among carboxamide fungicides tested on Alternaria alternata isolates collected in pistachio producing regions of California. Ellipses show correlation intensity (more elliptical indicates a stronger relationship between two fungicides) and the colors represent the coefficient of correlation, r (see scale at right of chart: 0 indicates no relationship, 0.30 a weak positive correlation, 0.5 a moderate correlation, and 0.70 a strong correlation). Abbreviations: bo = boscalid, the active ingredient of Pristine; fd = fluxapyroxad, the active ingredient of Merivon; fp = fluopyram, the active ingredient of Luna products; and pe = penthiopyrad, the active ingredient of Fontelis.
Extension Center (Michailides et al. 2017). The other registered carboxamides such as Merivon (fluopyradox), Pristine (boscalid) and Fontelis (penthiopropad) still provide excellent and consistent control — despite the elevated pathogen resistance we observed — and are important components of ALB management in California pistachio.

To preserve the efficacy of these fungicides as long as possible, growers should consider the usage of multi-site activity inorganics and chloronitrile fungicides (belonging to Fungicide Resistance Action Committee — FRAC — codes M1, M2 and M5) as part of a seasonal ALB control program. Multi-site activity inorganics and chloronitriles are less prone to develop resistance because they act on multiple pathogen cell functions. As a result, a combination of molecular alterations (difficult to find in the nature) in the pathogen would be necessary for the development of resistance. A drawback of chloronitrile fungicides in pistachio is the potential for phytotoxicity problems (which may damage fruit and leaves) when sprayed early in the season. For this reason, chloronitrile fungicides should be applied as the second or third spray for ALB control. Multi-site activity inorganics are not known to have this problem in pistachio.

Additionally, we recommend cultural practices that increase air movement and reduce humidity inside the orchards such as tree hedging and drip-irrigation (Michailides et al. 1995 and 2016).

Currently, at the Kearney Agricultural Research and Extension Center, we are performing several studies designed to inform anti-resistance strategies for use by growers. This work includes (a) testing the persistence of various genotypes (resistant-mutants and sensitives) within the A. alternata population, under laboratory and field conditions, to understand their fitness (adaptability) on environment without carboxamide pressure, (b) evaluating multiple spray programs and cultural practices to slow the resistance build-up to carboxamides and affecting fruit quality (c) in vitro testing of carboxamides not yet registered for pistachio that may become treatment options for growers in the future and (d) developing molecular methods to identify carboxamide-resistant-mutant isolates while still latent, in order to inform pest control advisers and growers about the risks associated with carboxamide usage for each season.

By continuing to study the components of fungicide resistance in A. alternata, we can assist in the delay of the fungicide resistance and increase the usefulness of the chemical arsenal available to the pistachio growers of California. 

References


Abstract

We used the Revised Universal Soil Loss Equation (RUSLE) to evaluate how different residual forage dry matter (RDM) levels affect erosion potential in rangelands across California. The model was adapted to operate in a geographic information system (GIS) to model 14.8 million acres (6.0 million hectares) of land. Average erosion potential was low among all RDM scenarios and increased from an estimated 0.05 ton per acre per year (0.11 megagram per hectare per year) with the high RDM scenario to 0.12 ton per acre per year (0.27 megagram per hectare per year) with the low RDM scenario. Considering all RDM scenarios, fewer than 174,733 acres (70,710 hectares, or 1.2% of land) had erosion potential that exceeded soil loss tolerance values. Although achieving a uniform RDM target across a landscape may be an oversimplification of reality, simulations suggest that erosion potential on average is low in California’s annual rangelands across high, moderate and low RDM recommendations. Moreover, our findings indicate that grazing management (maintaining moderate or high RDM) to mitigate erosion can be effective when targeted at areas of high vulnerability.

Results from a UC study suggest that a majority of California’s rangeland is resistant to sheet and rill erosion if recommended residual forage dry matter levels are being achieved.
season (just prior to the onset of fall rainfall-runoff events) is the standard indicator of annual grazing intensity at a site (Bartolome et al. 2006; Bartolome et al. 2007; Tate et al. 2004). UC Agriculture and Natural Resources (UC ANR) grazing recommendations for California provide RDM guidelines for discrete classes of slope, tree cover and rainfall (Bartolome et al. 2006); the guidelines help ranchers achieve sustainable forage production by limiting soil degradation caused by erosion and also provide benefits to species composition (Bartolome et al. 1980; Bartolome et al. 2014).

To varying degrees, excessive grazing removes substantial vegetation and can compact soil and weaken or destroy aggregates — particularly when soils are wet — all of which can increase surface runoff, erosion and pollutant transport (Beckmann and Smith 1974; Hodgkinson 1993; Knoll and Hopkins 1959; Warren et al. 1986). Adequate vegetative cover reduces soil erosion by (1) protecting soil from raindrop impact, (2) attenuating runoff velocity (Wischmeier 1975), (3) increasing infiltration and (4) stabilizing soil (De Ploey 1982; Dunne et al. 1991; OTA 1982). Several studies indicate that proper grazing management of a site results in soil loss values similar to those for ungrazed land (Weltz et al. 1998).

Recently, the White House Office of Science and Technology Policy issued a national call to action to protect America’s soil (OSTP 2016). The purpose was to inform decision-making and engage the public about soil degradation, with erosion as a primary focus. In response to this call to action, we — a group of UC soil and range scientists — evaluated erosion potential across 14.8 million acres (6.0 million hectares) of California’s annual rangelands — including annual grasslands, oak savannas and oak woodlands (Cal Fire 2015) — and the impact of changes in vegetative cover on the sustainability of this resource, using the Revised Universal Soil Loss equation (RUSLE) model. Our objective was to identify regional patterns in erosion potential across high, medium and low RDM scenarios and demonstrate where grazing management works best to protect against soil erosion. Recognizing that California annual rangelands have relatively high vegetative cover, we sought to demonstrate the inherent resilience of this system to erosion under careful management.

**RUSLE model, GIS database**

RUSLE is an empirical model that predicts sheet and rill erosion. Other types of erosion such as gully, stream bank and stream bed erosion are not evaluated by RUSLE (Renard et al. 1997; Wischmeier and Smith 1978). Sheet erosion is caused by the movement of water over the land surface. Rill erosion is caused by surface runoff becoming concentrated in small channels that generally do not exceed 4 inches in depth (USDA NRCS 2015a). Gullies are larger channels, too large to be removed by normal tillage operations.

**Model inputs**

RUSLE predicts erosion based on six factors: rainfall, soil erodibility, slope length and steepness, cover management, and conservation practices (Renard et al. 1997). We developed a geographic information system (GIS) database to reflect the RUSLE equation:

\[ A = R \times K \times L \times S \times C \times P \]
where \( A \) is average annual soil loss due to rain-induced erosion, which we termed erosion potential, \( R \) is the rainfall runoff erosivity factor, \( K \) is the soil erodibility factor, \( L \) is the slope length factor, \( S \) is the slope steepness factor and \( C \) is the vegetation cover management factor (table 1). \( P \) is conservation practices. We assumed no conservation practices, and thus \( P \) was left out of the model.

The GIS database contained a raster layer for each input factor. Spatial resolution was 30 meters, except for the \( R \) factor, which was 250 meters. Three separate RDM scenarios were modeled as explained below. Details about how the \( R, K, C, L \) and \( S \) factors were digitized into a statewide GIS are described in Salls (2016).

**R factor.** A raster layer for average annual rainfall erosivity was derived from a map of \( R \) factor isolines (Renard et al. 1997) and georeferenced by the California State Water Resource Control Board (SWRCB 2012). \( R \) factor pixel values were derived by linear interpolation of georeferenced isolines at a resolution of 250 meters. To complete interpolation, isolines terminating outside of California were closed manually by georeferencing maps of neighboring regions and tracing the isolines. Closure of isolines terminating in Mexico or off the coast was approximated.

**K factor.** The soil erodibility layer was developed from the gridded soil survey geographic (gSSURGO) database (USDA NRCS 2015b). Surface horizon \( K \) factor (including rock fragments) was used for each component, and major components were aggregated across SSURGO map units based on their percentages using an area-weighted average. The \( K \) factor was adjusted in the northern Sierra Foothills to account for the binding effect of iron oxides present in the metavolcanic parent materials. Singer et al. (1980) recorded the \( K \) factor of one such soil, the Auburn Series, as 0.03 — far below the values in gSSURGO estimated using the system in Wischmeier and Smith (1978), which range from 0.22 to 0.30. To correct for artificially high soil erodibility in areas with metavolcanic terrain, \( K \) factors were multiplied by 0.14.

**L and S factors.** The raster layer for the slope length and steepness factors was calculated from the national elevation dataset (NED) digital elevation model (USGS 2015). The product of \( L \) and \( S \) was calculated using the r.watershed module in GRASS GIS version 7.0 (Ehlschlaeger 2015), which uses equations for calculating the \( LS \) factor (Weltz et al. 1998). NED 1-arc-second grid cells were reprojected into 30-meter pixels using bilinear interpolation.

**C factor.** Three RDM scenarios (low, moderate and high) were examined to approximate the UC Cooperative Extension (UCCE) grazing management RDM recommendations (table 2). The \( C \) factor raster layer for each RDM scenario was calculated using the subfactor approach presented in Renard et al. (1997):

\[
C = PLU \times CC \times SC \times SR
\]

where \( C \) is the vegetation cover management factor, \( PLU \) is the prior land use, \( CC \) is the canopy cover, \( SC \) is the surface cover, and \( SR \) is the surface roughness. Salls (2016) explains each sub-factor calculation.

To populate surface cover (SC) for the three modeled RDM scenarios, relationships between RDM and surface cover were established. These data do not exist for the range of conditions throughout California’s annual rangelands. We used existing data collected from two different locations: 614 field plots in the northern Sierra Foothills region in Yuba County sampled during fall of 2013, 2014 and 2015, and 168 field plots in the Central Coast Range in eastern San Luis Obispo County collected during fall 2015 to establish a relationship between SC and RDM within each RDM scenario, which generally reflect UCCE grazing guidelines.

In each field plot, the area of bare ground was visually estimated, and vegetation was cut at the base, dried and weighed to determine RDM. Vegetative cover was calculated as the mean cover percentage of samples falling within high (> 980 pounds per acre, 1,100

### TABLE 2. Mean measured cover percentages for annual grasses in the Sierra Foothills and Central Coast regions for three RDM scenarios used to calculate the surface-cover subfactor (SC)

<table>
<thead>
<tr>
<th>RDM scenario</th>
<th>RDM</th>
<th>Mean cover</th>
<th>Standard deviation cover</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>lb/acre</td>
<td>kg/hectare</td>
<td>Sierra Foothills</td>
</tr>
<tr>
<td>Low RDM</td>
<td>&lt; 534</td>
<td>&lt;600</td>
<td>90&lt;sup&gt;a&lt;/sup&gt;</td>
</tr>
<tr>
<td>High RDM</td>
<td>&gt; 980</td>
<td>&gt; 1,100</td>
<td>98&lt;sup&gt;b&lt;/sup&gt;</td>
</tr>
</tbody>
</table>

* Superscript letters denote statistical differences among means of RDM classes (\( p < 0.04 \)) using Kruskal-Wallis test.
Examples of sheet, rill and gully erosion in rangeland settings

**Sheet and rill erosion**

Sheet and rill erosion on an unimproved dirt road. Rills are the channel networks. Areas of sheet erosion are the smooth textured surface soil surrounding the rills.

**Gully, sheet and rill erosion**

Evidence of sheet, rill and gully erosion on steep rangelands. (A) Sheet and rill erosion can strip topsoil, exposing underlying subsurface soil layers. Gullies form where water concentrates, resulting in deep channels (B) that are difficult to restore.

**Sheet erosion** is caused by the movement of a thin film of water over the land surface. Rill erosion is caused by surface runoff becoming concentrated in small channels. Gullies are larger channels, too large to be removed by normal tillage operations.

**Gully erosion**

Left, gully erosion in upland headwaters of an intermittent stream. Right, an example of how poorly designed roads can focus runoff and cause gully erosion.

**Stream bed erosion**

Turbid streamflow below a road crossing.

**Stream bank erosion**

Left, cattle near a degraded stream bank. Right, incised stream channel, which leads to bank failure.
kilograms per hectare), medium (534 to 980 pounds per acre, 600 to 1,100 kilograms per hectare) and low (< 534 pounds per acre, 800 kilograms per hectare) RDM classes (table 2).

**Relationship between cover and RDM**

Field plot cover assessments revealed high surface cover (SC in equation above) across all RDM classes, especially in the Sierra Foothills region (table 2). Percent cover remained high even in the low RDM scenario because of the high density of annual grasses that maintain surface cover. Each RDM scenario (low, medium and high) had significantly different mean vegetative cover percentages (p < 0.04). Mean SC was over 90% in the Sierra Foothills region across all RDM scenarios and increased slightly as RDM increased, ranging from 90% for low RDM to 98% for high RDM (table 2). Mean SC was lower in the Central Coast, ranging from 63% for low RDM to 93% for high RDM (table 2).

Mean SC values derived from measured relationships between SC and RDM in the Central Coast and Sierra Foothills (table 2) were assigned to rangeland productivity zones (RPZs) in a GIS (fig. 1 and see below). The Central Coast Range RDM SC relationship (table 2) was assigned to RPZs 4, 5 and 6, which are dry and warm areas (table 3). The Sierra Foothills RDM SC relationship (table 2) was assigned to RPZs 1, 2 and 3, corresponding to cooler and wetter areas (table 3).

RPZs were developed using a cluster analysis of the following environmental variables: plant-available water at 30 and 150 centimeters, soil organic carbon at 30 and 150 centimeters, root zone depth, solar radiation, landscape position (Jasiewicz and Stepinski 2013), slope, flow accumulation, precipitation, and mean annual minimum and maximum temperatures. Random forest regression was used to compute importance values for all environmental variables in explaining an estimate of peak standing biomass determined

**TABLE 3.** Physiographic attributes of rangeland productivity zones (RPZs)

<table>
<thead>
<tr>
<th>RPZ</th>
<th>Mean values</th>
<th>General region (fig. 2)</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Area (acres)&lt;br/&gt;MAP*&lt;br/&gt;Slope&lt;br/&gt;Elevation&lt;br/&gt;Aspect†&lt;br/&gt;Available water‡ 0-1 ft&lt;br/&gt;0-5 ft&lt;br/&gt;Soil depth&lt;br/&gt;Mean annual temperture</td>
<td></td>
</tr>
<tr>
<td></td>
<td>in&lt;br/&gt;%&lt;br/&gt;ft&lt;br/&gt;in&lt;br/&gt;in&lt;br/&gt;in&lt;br/&gt;F° min&lt;br/&gt;F° max</td>
<td></td>
</tr>
<tr>
<td>1</td>
<td>1,288,490&lt;br/&gt;22.0&lt;br/&gt;7&lt;br/&gt;889&lt;br/&gt;177</td>
<td>1.5 7.0 56 47 74</td>
</tr>
<tr>
<td>2</td>
<td>1,438,382&lt;br/&gt;51.0&lt;br/&gt;27&lt;br/&gt;1,971&lt;br/&gt;205</td>
<td>1.2 3.7 34 45 68</td>
</tr>
<tr>
<td>3</td>
<td>5,219,347&lt;br/&gt;22.0&lt;br/&gt;15&lt;br/&gt;1,056&lt;br/&gt;177</td>
<td>1.1 2.6 22 48 75</td>
</tr>
<tr>
<td>4</td>
<td>2,624,049&lt;br/&gt;21.0&lt;br/&gt;27&lt;br/&gt;1,932&lt;br/&gt;166</td>
<td>1.3 4.1 35 46 70</td>
</tr>
<tr>
<td>5</td>
<td>1,226,207&lt;br/&gt;20.0&lt;br/&gt;40&lt;br/&gt;2,270&lt;br/&gt;251</td>
<td>1.1 2.2 23 47 71</td>
</tr>
<tr>
<td>6</td>
<td>2,942,323&lt;br/&gt;12.3&lt;br/&gt;13&lt;br/&gt;1,328&lt;br/&gt;182</td>
<td>1.3 48 44 47 77</td>
</tr>
</tbody>
</table>

* MAP = mean annual precipitation.
† Degrees counterclockwise from east.
‡ Refers to inches of available water in the top 1 foot of soil and top 5 feet of soil. For 0–5 ft, it is 0 to 5 feet or depth to a root restrictive layer, whichever is shallower.

![FIG. 1. Distribution of rangeland productivity zones (RPZs). These regions reflect differences in climate, soils and topography, which are factors directly related to soil erosion. RPZs 1, 2 and 3 were assigned the Sierra Foothills RDM cover relationship, and RMZs 4, 5 and 6 were assigned the Central Coast RDM cover relationship.](http://calag.ucanr.edu • JULY–SEPTEMBER 2018 183)
by Thematic Mapper (TM) scene (April 26, 2010) using MODIS Enhanced Vegetation Index (EVI). A partitioning around medoids approach was used to create the set of clusters. These clusters were mapped across the landscape using a random forest model to predict RPZs based on the geospatial input variables.

**Differences in erosion potential**

At the statewide scale, differences in erosion potential among RDM scenarios were relatively small. Of the three scenarios modeled, average erosion potential was highest for low RDM, at 0.12 ton per acre per year (0.27 megagram per hectare per year) (table 4). For medium RDM, it was 0.09 ton per acre per year (0.20 megagram per hectare per year), and it was lowest, at 0.05 ton per acre per year (0.11 megagram per hectare per year), for high RDM. Median erosion potential values showed the same trend among RDM scenarios, but they were lower than the average values, indicating that most values in each modeling grid were relatively small values (table 4).

A small portion of land was highly erodible under all scenarios because it received intense rainfall, contained erodible soils and/or had long, steep slopes. Maximum erosion potential was 33 tons per acre per year (74.0 megagrams per hectare per year) for low RDM, 19 tons per acre per year (42.6 megagrams per hectare per year) for medium RDM and 10 tons per acre per year (22.4 megagrams per hectare per year) for high RDM (table 4).

**RPZs with high erosion potential**

Erosion potential was not uniform across the state. RPZs 2, 4 and 5 had the highest erosion potential for all RDM cover scenarios (fig. 2). Among these three RPZs, zone 5 was most prone to erosion under low RDM (average = 0.29 tons per acre per year, 0.65 megagram per hectare per year), while by a small margin zone 4 showed the highest resistance to erosion under high RDM (0.08 tons per acre per year, 0.17 megagram per hectare per year) (fig. 2). RPZs 4 and 5 had the greatest difference in erosion potential among cover scenarios. Despite having relatively high erosion potential, the difference among RDM cover scenarios was minimal in RPZ 2 (fig. 2); erosion potential in RPZ 2 differed by only 0.02 ton per acre per year (0.04 megagram per hectare per year) across RDM scenarios.

RPZs 4 and 5 were assigned the Central Coast Range SC-RDM relationship, which had low SC factor associated with low and moderate RDM compared to RPZs assigned with the Sierra Foothills SC data set. As a result, RPZs 4 and 5 were more sensitive to RDM reductions. These RPZs both had steep slopes (particularly RPZ 5) and thus high LS factors, leading to generally high erosion potential.

Erosion potential was relatively high in zone 2 for all scenarios. This was the case even though RPZ 2 was

**TABLE 4.** Statewide summary statistics of rangeland erosion potential for low, medium and high RDM scenarios

<table>
<thead>
<tr>
<th>RDM scenario</th>
<th>Mean</th>
<th>Median</th>
<th>Minimum</th>
<th>Maximum</th>
<th>Standard deviation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Low RDM</td>
<td>0.12</td>
<td>0.005</td>
<td>&lt; 0.005</td>
<td>33</td>
<td>0.37</td>
</tr>
<tr>
<td>Medium RDM</td>
<td>0.09</td>
<td>0.004</td>
<td>&lt; 0.005</td>
<td>19</td>
<td>0.24</td>
</tr>
<tr>
<td>High RDM</td>
<td>0.05</td>
<td>0.003</td>
<td>&lt; 0.005</td>
<td>10</td>
<td>0.16</td>
</tr>
</tbody>
</table>
TABLE 5. Area and percentage of area in which erosion potential exceeds $T$ factor, statewide and by rangeland productivity zone (RPZ), for the low, medium and high RDM scenarios.

<table>
<thead>
<tr>
<th></th>
<th>Area Proportion of land area</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Low</td>
</tr>
<tr>
<td></td>
<td>acres</td>
</tr>
<tr>
<td>State</td>
<td>174,735</td>
</tr>
<tr>
<td>RPZ 1</td>
<td>70</td>
</tr>
<tr>
<td>RPZ 2</td>
<td>23,950</td>
</tr>
<tr>
<td>RPZ 3</td>
<td>8,979</td>
</tr>
<tr>
<td>RPZ 4</td>
<td>81,363</td>
</tr>
<tr>
<td>RPZ 5</td>
<td>56,768</td>
</tr>
<tr>
<td>RPZ 6</td>
<td>3,605</td>
</tr>
</tbody>
</table>

assigned the high RDM SC relationship established from the Sierra Foothills data set, which resulted in high SC across all scenarios (table 2). With most of its area occurring in the North Coast and higher elevations of the Sierra Foothills, RPZ 2 had steep slopes and high rainfall intensity, and thus, generally high $R$ and $LS$ factor values, which explains the high erosion potential.

**RPZs with low erosion potential**

RPZs 1, 3 and 6 had low erosion potential for all RDM cover scenarios (fig. 2). RPZs 1 and 3 had extremely low mean erosion potential for all scenarios, with little difference between scenarios (fig. 2). RPZs 1 and 3 were assigned high RDM SC relationships established from the Sierra Foothills data, thus SC was high across all scenarios (table 2). Slope angles were also low, especially in RPZ 1, generally minimizing erosion potential.

RPZ 6 showed more substantial differences in erosion potential among scenarios. These differences were much smaller than in RPZs 4 and 5, though were similar proportionally. RPZ 6 was assigned the Central Coast Range SC data, which had lower mean SC subfactor values for RDM scenarios (table 2). Although RPZ 6 had the highest average $K$ factor, its conditions of gently sloping terrain, low precipitation and low rain intensity translated to low erosion potential.

**Relationship with soil loss tolerance**

Modeled erosion potential was compared against soil loss tolerance values ($T$ values) across the study area. $T$ values identify the maximum level of acceptable erosion and are assigned based on estimates of the rate of soil formation and properties of the subsoil (Li et al. 2009). U.S. Department of Agriculture Natural Resources Conservation Service (USDA NRCS) defines $T$ as “the maximum rate of annual soil loss that will permit crop productivity to be sustained economically and indefinitely on a given soil” (USDA 2015b).

NRCS established $T$ values as integers from 1 through 5 tons per acre per year assigned to soil types, indicating the maximum allowable soil loss (Soil Survey Division Staff 1993). $T$ values vary greatly across landscapes; those provided by soil survey may not always be accurate in rangelands, nor mapped at a scale fine enough to capture soil variability. We chose to compare modeled erosion potential with $T$ values because $T$ values are an established threshold condition used by the NRCS in conservation planning. While $T$ values are published for rangelands in soil survey reports, they were originally designed for cropland evaluation.

In this model, only small percentages of the state’s rangelands exceeded $T$ values (table 5). A little over 1% (174,704 acres, 70,710 hectares) of rangeland had erosion potentials exceeding $T$ values for the low RDM scenario. It decreased to 0.5% for medium RDM (79,568 acres, 32,174 hectares) and 0.2% (28,911 acres, 11,732 hectares) for high RDM. Even if all rangelands in the state had the most conservative $T$ value of 1 ton per acre per year (2.2 megagrams per hectare per year), more than 75% of rangelands would have erosion potential was not uniform across the state. RPZs 2, 4 and 5 had the highest erosion potential for all RDM cover scenarios.
potential below $T$ for the low RDM scenario. However, the small portion of rangeland exceeding $T$ values does indicate potential areas in which soils should be managed carefully to maintain productivity.

The relevance of soil loss tolerance values should be considered cautiously. Low soil loss tolerance values fail to recognize the resilience of soil to management and can lead to unnecessary and expensive soil conservation strategies. In contrast, high $T$ values can lead to productivity loss since they may unduly discourage managers from considering erosion protection measures (Li et al. 2009). Some believe that $T$ values are not accurate benchmarks of sustainability because they are based on overestimated soil formation rates and fail to consider environmental costs associated with erosion (Amundson et al. 2015).

**Influence of grazing recommendations on erosion potential**

Moderate RDM is typically recommended to optimize livestock performance and rangeland protection (Bartolome et al. 2006). Our modeling results suggest that

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### FIG. 3. Modeled erosion potential in tons/acre/year for Northern California (A) under the medium RDM scenario. Yellow box identifies the area of the finer scale map (B) near Redding, California. While erosion potential appears uniformly low at broad scale, areas of high erosion potential exist when visualized at fine scales.

### FIG. 4. Modeled erosion potential in tons per acre per year for Southern California (A) under the medium RDM scenario. Yellow box identifies the area of the finer scale map (B) near San Luis Obispo. While erosion potential appears uniformly low at broad scale, areas of high erosion potential exist when visualized at fine scales.
this recommendation is most important in regions that are more prone to erosion, such as RPZ 4 and 5, and to a lesser extent RPZ 2. Zone 6 may also benefit from moderate RDM, but erosion potential is low throughout.

It is important to note that all other factors being constant, the relationship between surface cover and erosion potential is not linear; a reduction of surface cover in areas where cover was low increased erosion potential more than reduction by the same percentage in areas where cover was high. This implies that erosion is most effectively reduced by carefully managing areas with low cover (i.e., areas with high amounts of bare soil).

While more cover reduces erosion potential, there are trade-offs between cover and other rangeland management objectives, including forage production, forage quality and plant species diversity. To maximize rangeland forage production as well as erosion protection, optimal RDM levels have been proposed. In California annual grasslands receiving annual rainfall between 15 and 40 inches (38 and 102 centimeters), maximum productivity was found to occur with 840 kilograms per hectare (750 pounds per acre) of RDM (Bartolome et al. 1980). Another study suggested that the RDM range to optimize forage production and species richness was 672 to 1,344 kilograms per hectare (600 to 1,200 pounds per acre) (Bartolome and Betts 2005). These RDM levels both coincided with the moderate RDM scenario modeled here, though the upper range identified by Bartolome and Betts (2005) extended into the high RDM class. Productivity has been observed to be higher with more RDM, but low RDM may increase plant species diversity in the form of forbs and clovers (Bartolome et al. 2007), which are considered higher quality forage. Additionally, as suggested by RDM guidelines, these relationships vary spatially (Bartolome et al. 2006), suggesting that our uniform extrapolation of RDM classes at regional scales is speculative.

Medium RDM scenario statewide

Figures 3 and 4 depict patterns in erosion potential using the medium RDM cover scenario. At the state scale (figs. 3A and 4A), erosion potential appears uniformly low. At finer scales, however (figs. 3B and 4B), erosion potential appears more variable where portions of the hillslope are depicted, which cannot be seen at the statewide scale. Some broad-scale trends are evident. High erosion potential values were common along the eastern edge of the Sierra Foothills, more so toward the south. A large swath of rangeland skirting the north end of the Central Valley near Redding showed elevated erosion potential as well (fig. 3B). Erosion potential was higher in the northern Coast Range (figs. 3A, 4A and 4B). Many of these areas coincide with relatively high rainfall intensity and steep slopes. Areas of low erosion potential include well-vegetated areas in the Sierra Foothills and the interior central and southern Coast Range, low slope angles in and around the Central Valley, and soils derived from metavolcanic rocks in the northern Sierra Foothills that are resistant to erosion because of low K factors (Salls 2016; Singer et al 1980).

Comparison of modeled and measured values

To assess model performance, modeled erosion potential values were compared to field data collected from three different locations. The first location was in the Central Coast Range near Paso Robles, where we measured erosion in three plots for each of two treatments: planted with a cover crop of the oilseed *Camelina sativa*, and bare soil. In addition, we used data from existing studies in two locations, each of which monitored sediment discharge into a California rangeland stream. Average annual sediment flux from each stream was used to calculate a sediment flux per area (in tons per acre per year) based on the size of each watershed. Though sediment flux values are not directly comparable to erosion soil loss rates (see explanation below), they provide a rare opportunity to test the model against watershed-scale assessments. One stream, Stemple Creek, originates in Sonoma County and drains from the Marin County coast (Lewis et al. 2008). The Stemple Creek study reflects monitoring of creek discharge after major storm events over two seasons (2004 to 2006). The other stream drains the Schubert watershed in the northern Sierra Foothills (Lewis et al. 2006). The Schubert study uses a 20-year data set (1981 to 2000) where stream flow was monitored continuously and suspended sediment was measured intensively during storms and occasionally during storm-free periods.
Measured erosion and sediment flux values at the three locations were low and were surprisingly (given the differences in scale) similar to average modeled erosion potential values based on the low RDM scenario (fig. 5).

Erosion rates measured at the planted and bare runoff plots in Paso Robles were similar to modeled erosion potential for zone 4, the RPZ where this site is located. Measured sediment flux from the Schubert Creek study was 0.088 ton per acre per year (0.20 megagram per hectare per year), relatively close to the average modeled erosion potential based on the lower RDM scenario in RPZ 3, in which Schubert is located: 0.05 ton per acre per year (0.11 megagram per hectare per year).

Measured sediment flux averaged across the Stemple Creek watershed was 0.015 ton per acre per year (0.03 megagram per hectare per year). This value was much lower than the modeled erosion potential in its associated RPZ (2), where average erosion potential ranged from 0.18 ton per acre per year (0.4 megagram per hectare per year) under low RDM to 0.13 ton per acre per year (0.29 megagram per hectare per year) with high RDM. Some of the difference between measured and modeled values at the Stemple Creek site may be explained by the fact that, as mentioned above, the sediment flux measurements generated by watershed discharge studies are not directly comparable to RUSLE modeled values. Sediment flux is an imperfect proxy for erosion as it reflects sediment transported to waterways; it does not account for all on-site soil loss, some of which may be deposited on land before reaching a waterway (Renard and Stone 1982; Walling 1983). Therefore, sediment yield to waterways can be lower than erosion at the catchment scale. Another implication is that the findings of this study do not provide information about sediment flux to streams in rangeland areas.

Model limitations

There are many limitations to our analysis. Modeling at a statewide scale diminishes precision. Generalizations, often unrealistic, must be applied to larger areas. RUSLE models rill and sheet erosion, but does not include channelized gully erosion. Erosion from cattle trails has been shown to be significantly higher than the surrounding grazed landscape (George et al. 2004). Our modeling could not account for this fine scale occurrence and is a possible explanation of why our results were so low. While RUSLE was originally designed and tested in both rangelands and croplands (Renard et al. 1997; Spaeth et al. 2003), USDA-NRCS now limits its application primarily to cropland. Inconsistencies in erosion predictions from RUSLE have been identified. In general, soil erosion models have a bias against extreme values, whether high or low. This limited modeling of variability leads to overprediction of low values and underprediction of high values (Nearing 1998). Moreover, results do not reflect erosion.
from roads or cattle trails, which may be significant contributors to erosion (George et al. 2002; George et al. 2004; Lewis et al. 2001). In other studies, infiltration rate has been shown to increase in the presence of blue oak trees (Dahlgren et al. 1997) and decrease due to soil disturbance from intensive grazing (Thurow et al. 1988; Warren et al. 1986), effects not directly considered here.

We chose to use an older version of RUSLE1 (Reynard et al. 1997) even though a more recent version exists (RUSLE2), because the latter calculates erosion on daily time steps. Statewide data on seasonal changes in rainfall intensity and vegetation cover do not exist for California, thus we decided a simplified approach was needed for our statewide assessment. We acknowledge that RUSLE1 erosion output can vary from RUSLE2 by as much as 20% (Foster et al. 2003). For example, RUSLE1 models the average rainfall intensity and does not consider extreme events, which could be responsible for a bulk of the runoff. Despite this discrepancy, our field validation suggests that RUSLE1 produces reliable estimates of erosion.

The model could be improved through better definition of the $C$ factor by establishing more relationships between cover and RDM across a wider array of physiographic conditions. This relationship varies spatially with a variety of factors including temperature, precipitation, light, soil depth and fertility, slope, and aspect. Moreover, this study did not address temporal variability of cover, neither within nor between years. Cover and rainfall vary throughout the year, particularly in California’s Mediterranean climate zones (Becchetti et al. 2016; George et al. 2010). Unlike perennial systems, the annual grass and forb cover of California’s annual rangelands is seasonally dynamic in response to grazing and the timing of precipitation. Cover generally increases as the rainy season progresses and peaks in mid- to late spring. After excessive grazing, cover remains low until the rainy season resumes the following growing season. Rain falling in late fall and early winter when protective cover is lowest can have a disproportionate impact on erosion. Our assumption likely overestimates erosion since cover often regenerates during the rainy season, depending on timing of temperature and precipitation (Becchetti et al. 2016). Variability between years is more problematic. Rainfall varies substantially each year, but this is not captured in the empirical structure of RUSLE. Cover RDM relationships and rangeland productivity also vary year to year depending on amount and timing of rainfall, temperature and sunlight (Becchetti et al. 2016). For example, in the Central Coast Range, RDM has been observed to decrease 7% to 11% each month during the dry season due to natural decomposition (R. Larson, personal communication 2017). If fall rains fail to arrive and RDM levels continue to decrease, cover can diminish substantially, resulting in a landscape highly vulnerable to erosion in winter months when rainfall intensity is high. These issues demonstrate that modeling provides information about scenarios modeled, but may not accurately simulate real-world conditions. Ultimately better models are needed that address the complexity of range landscapes (Nearing et al. 2011).

Other geospatial erosion models are available (see Borah and Bera 2003 and Merritt et al. 2003 for extended reviews of models), but are generally intended for modeling individual watersheds. A few other models such as N-SPECT and SedNet are intended for larger scales (Álvarez-Romero et al. 2014). Though RUSLE was developed at the field scale, its simple multiplicative factor approach is well suited to broad scale GIS based modeling in rangelands (Blaszczynski 1992) and elsewhere (Demirci and Karaburun 2012; Erdogan et al. 2007).

**Implications**

Modeled erosion potential in California’s rangelands was remarkably low (mean well below 1 ton per acre per year), and less than for most land uses in most states reported in the 2012 National Resources Inventory (USDA 2015). Actual erosion in a given area could be much higher. Areas with high erosion potential exist throughout the state. Such hot spots where erosion potential was especially high represent instances where a combination of some or all factors ($R$, $K$, $LS$) creates an environment favorable for substantial soil loss. These locations must be managed carefully.
Soil erosion potential was summarized by RPZ to reflect differences in forage productivity, growing conditions and terrain characteristics across the state’s annual rangelands. Thus, RPZs are intended to serve as geographic templates to understand spatial patterns in soil erosion potential. Ideally, this understanding could lead to regionally focused or prescriptive management responses. This information could be used for ranch water quality plans, assessments of rangeland soil health and carbon sequestration potential.

The fact that no more than 4.6% of the land area in any RPZ or RDM scenario exceeded the soil loss tolerance value suggests that a majority of California’s rangeland is resistant to sheet and rill erosion if recommended RDM targets are being achieved. This finding is consistent with the results of other erosion assessments in California’s annual rangelands (George et al. 2004; Lewis et al. 2001).

Results suggest that sheet and rill erosion from uplands may not be a significant source of sediment in rangeland streams. Thus, effort and resources intended to protect stream water quality should focus on reducing gully erosion and implementing sediment control strategies in and around roads and streams. Our results suggest that ranch managers can achieve greatest reduction in erosion that impacts stream water quality by targeting erosion control strategies on sensitive areas such as roads, trails and stream banks.

This study illuminates the spatial variability of erosion, suggesting that uniform grazing management of large land areas may not be effective in achieving the cobenefits of erosion protection, diversity in plant communities and high forage productivity. One-size-fits-all grazing recommendations of a single RDM level across entire landscapes will do little to reduce erosion in the most vulnerable areas and may sacrifice forage productivity in others. Areas with inherently high erosion due to steep slope angles, long slope lengths, heavy rainfall, erodible soils and/or naturally low vegetative cover are also more sensitive to changes in cover, and therefore must be managed carefully. Reducing grazing dramatically in highly erodible areas will likely mitigate erosion more effectively than reducing grazing a little in areas less prone to erosion. Another consideration is that limiting grazing to areas less prone to erosion can lead to tradeoffs between forage production and species diversity, because high RDM can lead to lower species diversity and lower forage quality.

Modeled erosion potential values must be understood as estimates with limitations. Because the RUSLE model has many shortcomings, and because we modeled scenarios as opposed to reality, results are not intended to be interpreted as real erosion magnitudes. Likewise, attempts to identify sources of water quality impairments using these results, and RUSLE in general, should be avoided. Rather, the study is meant to provide a spatial representation of the relative resistance to and risk of erosion across California’s rangelands.

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Upcoming UC and UC ANR events

Point Reyes National Seashore Association CalNat Course
http://calnat.ucanr.edu/Take_a_class/PRNSA/
Date: September 22–October 20, 2018
Time: 9:00 a.m. to 5:00 p.m.
Location: Morgan Horse Ranch, Point Reyes National Seashore
Contact: fieldinstitute@ptreyes.org

Firewise Practices for Home Landscape – Sonoma
http://ucanr.edu/?calitem=420897
Date: October 6, 2018
Time: 8:30 a.m. to 12:30 p.m.
Location: Sonoma Community Center
Contact: Stan Pawlak stan.pawlak@gmail.com

Harvest Celebration
http://ucanr.edu/?calitem=421214
Date: November 10, 2018
Time: 10:00 a.m. to 3:00 p.m.
Location: Hopland Research and Extension Center
Contact: Hannah Bird hbird@ucanr.edu or (707) 744-1424 ext 105
Can’t make the event but would still like to support Hopland REC during fire recovery? Donate online at https://donate.ucanr.edu/hopland-rec