

**OFFICE OF CONTRACTS AND GRANTS  
PUBLIC HEALTH SERVICE (PHS) CONFLICT OF INTEREST (COI)  
PRINCIPAL INVESTIGATOR CERTIFICATION**

**UC, AGRICULTURE AND NATURAL RESOURCES**

<b>Project Information</b>			
Principal Investigator:		County/Program/REC:	
PI Email:		Project Begin Date:	Project End Date:
Project Title:			
Sponsor:	<input type="checkbox"/> PHS	<input type="checkbox"/> Other (e.g., American Heart Association, American Cancer Society):	
	<input type="checkbox"/> Subcontract of PHS Funds from:		

<b>Type of Proposal/Disclosure</b>		
<input checked="" type="checkbox"/> New Proposal	<input type="checkbox"/> Supplemental Funding Which Includes Time Extension	<input type="checkbox"/> Change of Grantee Institution
<input type="checkbox"/> Annual Reporting/Non-Competing Continuation	<input type="checkbox"/> No-Cost Time Extension	
<input type="checkbox"/> Renewal/Competing Continuation	<input type="checkbox"/> New Investigator Added to Project	

**Principal Investigator Certification**

The Public Health Service requires that any person identified as senior or key personnel and others who direct or can materially influence the research, or who are responsible for the design, conduct, and reporting of such research, must disclose Significant Financial Interests and complete the Conflict of Interest Training.

I, as the Principal Investigator, certify that:

- All required PHS Financial Conflict of Interest (FCOI) Disclosures have been submitted to the Office of Contracts and Grants (OCG) for this transaction.
- I understand that I must submit a PHS disclosure form for any new UC ANR investigator I add to this project in the future, and I may not use project funds to support any UC ANR investigator who makes a positive disclosure until the UC ANR COI Committee reviews and approves this disclosure.
- I understand that no UC ANR investigator may participate in this project until the investigator certifies that they have completed the UCOP PHS-FCOI compliant training dated within the last four years. Each investigator must email the OCG analyst assigned to this project with a statement certifying that they have completed the UCOP PHS compliant FCOI training specifying the date completed OR provide OCG with a copy of their certificate of completion.
- I understand that should I wish to establish a subagreement with a subrecipient without a PHS-compliant FCOI policy:
  - I must contact OCG and will need to submit to OCG a PHS Financial Disclosure for each investigator who will be involved in the subrecipient's scope of work prior to the establishment of the subagreement or any supplements that extend the project end date.
  - I must verify to OCG that each subrecipient investigator has provided me with a certificate of PHS-compliant FCOI training dated within the last four years prior to the establishment of the subagreement or any supplements that extend the project end date.
- I agree to maintain a file containing up to date certificates of PHS-compliant training for all UC ANR investigators and all investigators of any subrecipient that does not have a PHS-compliant FCOI policy for as long as each investigator is involved in the project and to make this information available to the UC ANR's OCG upon request.

List of Investigators (add additional pages as necessary)


**Signature of Principal Investigator:** \_\_\_\_\_

**Date:** \_\_\_\_\_

**Printed Name:** \_\_\_\_\_